



CITY OF MARSHFIELD  
**MEETING NOTICE**

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**AMENDED**

**COMMON COUNCIL  
CITY OF MARSHFIELD, WISCONSIN  
TUESDAY, SEPTEMBER 23, 2014  
Council Chambers, Lower Level, City Hall Plaza  
7:00 p.m.**

NOTE TO VISITORS AND GUESTS: Welcome to this meeting of the Common Council. We appreciate your interest in the City of Marshfield. Item "H" on the agenda (below) provides an opportunity for the Mayor and Council to receive comments from members of the public. If you would like to make a comment, please write your name and address and indicate your topic on a form at a table near the entrance to the Council Chambers prior to the beginning of the meeting. After being recognized by the Mayor at the appropriate time, please address the Council from the podium, first stating your name and address.

- A. Call to Order by Chris Meyer, Mayor
- B. Roll Call
- C. Pledge of Allegiance
- D. Approval of Minutes – September 9, 2014
- E. Comments from the Mayor, specifically:
  - 1) Employee Recognitions:
    - Josh Miller, Planning & Economic Development, October 5, 2009, 5 years
    - Terry Christensen, Wastewater Utility, October 9, 1979, 35 years
- F. Reading of items added to the agenda
- G. Brian Panzer began his employment in the Street Division on September 4, 1984 and retired as Street Superintendent on September 16, 2014, after 30 years of service. Dan Knoeck, Director of Public Works, will recognize Mr. Panzer on behalf of the City of Marshfield.
- H. Public Comment Period/Correspondence  
At this time, the Mayor will recognize members of the public who have indicated a desire to address the Council. Upon recognition by the Mayor, persons may address the Council from the podium, first stating their name and address. The Council may take action on emergency matters introduced by members of the public.

COMMON COUNCIL AGENDA  
SEPTEMBER 23, 2014

- I. Election of one person to the Marshfield Utility Commission to serve a 5-year term beginning October 1, 2013 and ending September 30, 2018. Mayor Meyer has received letters of interest from the following persons:

Harry Borgman, 1207 E. 21<sup>st</sup> Street, Marshfield  
Ron Dickrell, 506 S. Lincoln Avenue, Marshfield

Recommended Action: Elect one person to the Marshfield Utility Commission.

- J. Reports from commissions, boards, and committees.

- K. Consent Agenda:

- 1) Meeting minutes/reports
  - a. Community Development Authority Financial Meeting (July 17, 2014)
  - b. Community Development Authority (July 17, 2014)
  - c. Central Wisconsin State Fair (August 18, 2014)
  - d. Community Development Authority Financial Meeting (August 26, 2014)
  - e. Marshfield Utility Commission (September 8, 2014)
    1. Job Order #7450, Ceiling for diesel unloading bay – M1; installing ceiling to prevent birds from ruining area (\$25,200)
    2. Job Order #17778, UG Pri. Conversion, Manchester Ct. Apts; installing underground single phase primary loop to replace aged overhead primary line. Installing a three phase OH primary tap off of a T-line pole on Adams to help work toward removal of OH 3PH in a backyard setting. (\$48,224)
  - f. Sustainable Marshfield Committee (September 9, 2014)
  - g. Economic Development Board (September 11, 2014)
  - h. Board of Public Works (September, 15, 2014)
  - i. Community Development Authority Financial Meeting (September 16, 2014)
  - j. Judiciary and License Committee (September 16, 2014)
  - k. Finance, Budget, and Personnel Committee (September 16, 2014)
  - l. Plan Commission (September 16, 2014)

Recommended Action: Receive and place on file, approving all recommended actions.

- L. Consideration of items removed from the consent agenda, if any.

- M. Request to approve Resolution No. 2014-56 supplementing resolution No. 2002-33; awarding the sale of approximately \$3,900,000 water system revenue bonds, series 2014; and providing for the payment of said bonds and other details and covenants with respect thereto. Presentation by David Anderson, Public Financial Management.

Recommended Action: Approve Resolution No. 2014-56.

COMMON COUNCIL AGENDA  
SEPTEMBER 23, 2014

- N. Request to approve Resolution No. 2014-57 authorizing the issuance and establishing parameters for the sale of not to exceed \$2,050,000 sewerage system revenue bond anticipation notes, Series 2014. Introduction by Keith Strey, Finance Director. Presentation by David Anderson, Public Financial Management.

**Recommended Action:** Approve Resolution No. 2014-57.

- O. Receive and discuss staff report regarding possible donation to the Marshfield Area Pet Shelter project. Presented by Steve Barg, City Administrator.

Recommended Action: Discretion of the Council.

- P. Presentation from Heroin Task Force. Introduced by Steve Barg, City Administrator. Presented by a representative from the Heroin Task Force.

Recommended Action: None, for information only.

- Q. Request to approve contract with CAP Services, Inc. for administration of the City's revolving loan fund under the CDBG housing grant program. Introduced by Jason Angell, Planning & Economic Development Director. Presented by Mike Olson, CAP Services, Inc.

Recommended Action: Approve the proposed contract

- R. Second Reading – Charter Ordinance No. 25, requiring that the position of City Clerk be appointed by the Common Council for an indefinite term. Presented by Alderperson, Ed Wagner.

Recommended Action: Approve Charter Ordinance No. 25.

- S. First Reading – Charter Ordinance No. 26, requiring that the position of Assessor be appointed by the Common Council for an indefinite term. Presented by Steve Barg, City Administrator.

Recommended Action: None at this time unless the rules are suspended; final action will be scheduled on the October 13, 2014 meeting agenda.

- T. First Reading – Ordinance No. 1288 rezoning request by Josh Gluege, representing the property owners Lonnie and Paulette Peterson, to change the zoning of two vacant parcels located at 401 and 407 East 21st Street (parcels numbers 33-06268 and 33-06269), from "SR-3" Single Family Residential to "TR-6" Two-Family Residential District. Presented by Jason Angell, Director of Planning and Economic Development.

Recommended Action: None at this time unless the rules are suspended; final action will be scheduled for the October 13, 2014 meeting.

COMMON COUNCIL AGENDA  
SEPTEMBER 23, 2014

- U. Request to approve Budget Resolution No. 24-2014, transferring \$70,000 from a State Trust Fund Loan for development incentives per the Development Agreement with JT Marshfield. Presented by Keith Strey, Finance Director.

Recommended Action: Approve Budget Resolution No. 24-2014.

- V. Request to approve Resolution No. 2014-53, adopting an ambulance rate schedule to be effective January 1, 2015. This schedule was considered and accepted by the Fire and Police Commission on September 4, 2014. Presented by Brenda Hanson, Assistant Finance Director.

Recommended Action: Approve Resolution No. 2014-53.

- W. Report from the City Attorney about the process to bring the water utility back under the City's direct control and oversight. Presented by Harold Wolfgram, City Attorney.

Recommended Action: None, for information only.

- X. Items for future agendas.

- Y. Adjournment

Posted this day, September 22, 2014 at 4:50 p.m., by Deb M. Hall, City Clerk

Notice

*It is possible that members of and possibly a quorum of other governmental bodies of the municipality may be in attendance at the above-stated meeting to gather information; no action will be taken by any governmental body at the above-stated meeting other than the governmental body specifically referred to above in this notice. Upon reasonable notice, efforts will be made to accommodate the needs of disabled individuals through appropriate aids and services. For additional information or to request this service, contact Deb M. Hall, City Clerk at 630 South Central Avenue or by calling (715)486-2023.*

**SEPTEMBER 9, 2014**

Regular meeting of the Common Council was called to order by Mayor Meyer at 7:00 p.m., in the Council Chambers, City Hall Plaza.

**PRESENT:** Michael Feirer, Alanna Feddick, Chris Jockheck, Gordon H. Earll, Ed Wagner, Char Smith, Gary Cummings, Rebecca Spiros, Tom Buttke and Peter Hendler

**ABSENT:** None

The flag was saluted and the pledge given.

**CC14-189** Motion by Feirer, second by Spiros to approve the minutes of the Common Council meeting of August 26, 2014.

**Motion carried**

Employee Recognition

Michael Trudeau, Street Division                      September 12, 1989                      25 years

No items were added to the agenda.

**PUBLIC COMMENT PERIOD**

Aldersperson Jockheck invited the citizens to the Marshfield Civility Project. It is cosponsored by the Republican and Democratic Parties of Wood County. It will be held on September 17, 2014 at the UW-Marshfield Wood County Campus from 6:30 – 8:30 p.m.

Josh Miller, City Planner, invited everyone to the Downtown Visioning Workshop which will be held on September 17, 2014 at the Chestnut Avenue Center for the Arts from 5:30 p.m. – 7:30 p.m.

Aldersperson Buttke said that on September 3<sup>rd</sup> Ray Hager passed away. Ray worked for the Wastewater Utility for 30 years.

Mayor Meyer announced that some people have been having trouble with the Public Access Channel, channel 989. Charter has resolved the problem with channel 989 and it should be back online.

Election of one person to the Marshfield Utility Commission. The Mayor received two letters of interest from the following persons:

Harry Borgman, 1207 E. 21<sup>st</sup> Street  
Ron Dickrell, 506 S. Lincoln Avenue

The election will be held at the next Common Council meeting on September 23, 2014.

**REPORTS FROM COMMISSIONS, BOARDS AND COMMITTEES**

None

**CONSENT AGENDA**

**CC14-190** Motion by Hendler, second by Cummings to receive and place on file, approving all recommended actions for the items listed on the consent agenda. Meeting Minutes/Reports: Library & Community Center Committee of June 4, 2014; Convention & Visitors Bureau of June 24, 2014; Zoning Board of Appeals of July 8, 2014; Convention & Visitors Bureau of July 22, 2014; Cable TV Committee of July 28, 2014; Library & Community Center Committee of August 6, 2014; Airport

Committee of August 21, 2014; Marshfield Utility Commission of August 29, 2014; Board of Public Works of September 2, 2014 and Judiciary and License Committee of September 2, 2014.

**Motion carried**

A presentation of the 2014 “Vital Signs” report was given by Jason Angell, Planning & Economic Development Director; Paula Jero, Executive Director, United Way; and Amber Kiggins-Leifheit, Executive Director, Marshfield Area Community Foundation.

First reading of Charter Ordinance No. 25, requiring that the position of City Clerk be appointed by the Common Council for an indefinite term.

Second reading of Ordinance No. 1284, Rezoning Request by John Iwaszczenko III to change the zoning from “SR-6” Single-Family Residential to “UMU” Urban Mixed Use District, for two parcels located at 201 West 4th Street and 306 South Chestnut Avenue.

**CC14-191** Motion by Spiros, second by Smith to approve Ordinance No. 1284. Ayes – 10  
**Motion carried**

Second reading of Ordinance No. 1285, Municipal Code amending Sections 18-26 and 18-27 of the City of Marshfield Municipal Code, correcting the minimum street setback in the “SR-2” Single Family Residential District and increasing the height limitation of the “SR-3” Single Family Residential district to 35 feet.

**CC14-192** Motion by Buttke, second by Cummings to approve Ordinance No. 1285. Ayes – 10  
**Motion carried**

Second reading of Ordinance No. 1286, Municipal Code Amendment to Sections 18-91, 18-92, and 18-95 of the City of Marshfield Municipal Code, Shoreline Zoning Regulations, adding general provisions to the ordinance per the Department of Natural Resources requirements.

**CC14-193** Motion by Spiros, second by Wagner to approve Ordinance No. 1286. Ayes – 10  
**Motion carried**

Second reading of Ordinance No. 1287, Planned Development-Specific Implementation Plan Amendment Request by Prairie Run, to vacate portions of Wildflower Drive and combine 4 lots into a 2 lot CSM, located north of 1920 Wildflower Drive, zoned “PD” Planned Development.

**CC14-194** Motion by Feddick, second by Cummings to approve Ordinance No. 1287. Ayes - 10  
**Motion carried**

**CC14-195** Motion by Hendler, second by Smith to approve Budget Resolution No. 22-2014, transferring \$6,700 (total amount) within the TIF District Funds to complete a TIF District Strategic Plan update. Ayes - 10  
**Motion carried**

**CC14-196** Motion by Hendler, second by Buttke to approve Budget Resolution No. 23-2014, transferring \$52,000 from the Wastewater Utility Equipment Replacement Fund to the Wastewater Utility General Plant Machinery and Equipment to fund emergency repairs to influent screw pump. Ayes - 10

**Motion carried**

**CC14-197** Motion by Feirer, second by Wagner to approve Resolution No. 2014-54, adopting the Wood County Hazard Mitigation Plan. Ayes - 10

**Motion carried**

**CC14-198** Motion by Smith, second by Hendler to approve Resolution No. 2014-55, requesting the exemption from Wood County Library tax. Ayes - 10

**Motion carried**

**CC14-199** Motion by Wagner, second by Smith to have the City Plan Commission review the definition of a temporary structure. Ayes – 8; Nays – 2 (Feirer and Earll)

**Motion carried**

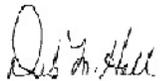
An overview of the 2015 budget was presented by Keith Strey, Finance Director.

Future Agenda Items

1. Charter Ordinance for City Assessor's position – place on JL agenda.
2. Remove the Water Utility from the Utility Commission and bring it back under city control. (Alderperson Wagner asked the City Attorney to research this and bring it back sometime in October)
3. Library Project – what options (if any) exist for making the Library a 2015 project should fundraising be successful. What impact any type of anticipation note issuance we might have to fund donations not yet received would have on all of these factors.
4. Pet Shelter Project Report from staff perspective.

Motion by Buttke, second by Hendler to adjourn at 8:29 p.m.

**Motion carried**



Deb M. Hall  
City Clerk

COMMUNITY DEVELOPMENT AUTHORITY

July 17<sup>th</sup>, 2014

The Financial meeting of the Community Development Authority was called to order by Finance Committee Member Dave LaFontaine at 8:05 a.m. in the Cedar Rail Court Executive Conference Room, located at 601 S. Cedar Avenue, Marshfield, WI.

Affirmation of Proper Posting of Meeting: Meeting posted July 10<sup>th</sup>, 2014 at 1:15 p.m.  
Addendum posted July 15<sup>th</sup>, 2014 at 5:04 p.m.

ROLL CALL:

PRESENT: Dave LaFontaine, Dave Marsh

OTHERS PRESENT: Andy Keogh – Commission Chair, Mary James-Mork - Executive Director, Carol Kerper – Executive Assistant, Julie Mess – Finance Director

ABSENT: Peter O. Hendler

Declaration of a Quorum.

Identify any Potential Conflicts of Interest: None

Public Comment: None

Review of Financial Reports/Documentation for June of 2014:

CDA 14-F07-1 Motion by Marsh, second by LaFontaine to accept and recommend the financial report for board approval. 2 Ayes

MOTION CARRIED

Convene to closed session was deferred to a future date.

Update on Cedar Rail Roof Project: The walk through inspection with the roofing contractor and CDA Environmental Services Manager will be done during the week of July 21. The final payment to the roofing contractor will be issued upon completion of the inspection.

RMM Solutions Update: RMM personnel met with CDA staff on July 16<sup>th</sup> to discuss hosting of the CDA phone system. A meeting with RMM personnel will be scheduled so that the Board of Commissioners can be presented with information on the proposed system.

Next meeting date will be Tuesday, August 19<sup>th</sup>, 2014 at 1:30 p.m. in the Cedar Rail Court Executive Conference room.

Meeting adjourned at 8:53 a.m.

Respectfully submitted,

Mary James-Mork  
Executive Director

Dave LaFontaine  
Chairperson

COMMUNITY DEVELOPMENT AUTHORITY

July 17<sup>th</sup>, 2014

The monthly meeting of the Community Development Authority was called to order by Chairperson Andy Keogh at 9:00 a.m. in the Cedar Rail Court Community Room, 601 S. Cedar Avenue, Marshfield, Wisconsin.

Affirmation of Proper Posting of Meeting: Meeting posted July 15<sup>th</sup>, 2014 at 5:04 p.m.

ROLL CALL:

PRESENT: Andrew Keogh, Dave Marsh, John Conklin, Marilyn Hardacre, Dave LaFontaine

OTHERS PRESENT: Mary James-Mork - Executive Director, Julie Mess – Finance and Operations Director, Carol Kerper - Executive Assistant

ABSENT: Peter O. Hendler, Tom Buttke

Declaration of a Quorum.

Identify any Potential Conflicts of Interest: None

Invitation for Public Comment: None

CDA 14-B07-1 Motion by LaFontaine, second by Marsh to accept the June 19<sup>th</sup>, 2014 Board meeting minutes. 5 Ayes

MOTION CARRIED

Strategic Planning Committee Update: No update

Personnel Committee Update: No update

Grievance Committee Update: No update

Executive Director's Report:

CDA 14-B07-2 Motion by Hardacre, second by LaFontaine to accept and place on file the Executive Director's Report. 5 Ayes

MOTION CARRIED

Finance Committee Update: Update presented. Committee update and the Financial meeting minutes of July 17<sup>th</sup>, 2014 will be formally accepted at the August, 2014 Board of Commissioners meeting.

Next Meeting Date and Time: Thursday, August 28<sup>st</sup>, 2014 at 1:00 p.m. in the Community Room of Parkview Apartments

Meeting adjourned at 9:37 a.m.

Respectfully submitted,

Mary James-Mork  
Executive Director

Andy Keogh  
Chairperson

**COMMUNITY DEVELOPMENT AUTHORITY**  
**Executive Director's Highlights**  
**September 18<sup>th</sup>, 2014**

**1. Occupancy-**

Elderly/Disabled Housing- Parkview six (6) openings, with four (4) on hold for modernization.

Cedar Rail – Seven (7) openings.

Scattered Sites-One (1) opening

Section 8-The program has fifty-one (51) active, leased vouchers.

**2. Meetings/Partnerships**

Economic Development Board

Committee on Aging

RMM Solutions

Hawkins Ash

Library Committee

Economic Development Board (Housing Committee)

**3. Economic Development Board (EDB)**

EDB met on September 11<sup>th</sup>, 2014. Executive Director hosted an EDB Housing Committee Meeting at the CDA on September 9<sup>th</sup> to discuss issues and options for dealing with rental property guidelines.

**4. RAD Project-**

No update to report from HUD on the status of the CDA RAD application.

**5. RMM Solutions-**

RMM Solutions met with the CDA management on July 16<sup>th</sup>, 2014 to review and discuss hosting the CDA phone system. Members of Finance met on August 26<sup>th</sup>, 2014 to review and accept the proposal for recommendation to the Board at the September 18th Board Meeting.

**6. Capital Projects (CFP)-**

ED, Environmental Services Manager, and Business Manager are scheduling meetings to review and update the 5 year Capital Funding Plan. At the close of the meetings, a draft of the plan will be completed for recommendation to the CDA Finance Committee.

**7. Training-**

Carol Kerper, Celena Wanca-Netzow, Tim Geldernick and Ann Kleinschmidt attended a day long training meeting on September 17<sup>th</sup> in Wisconsin Dells sponsored by the Wisconsin Association of Housing Authorities.

**8. Section 8 Program-**

Janet Southworth has accepted an occasional position with the CDA to focus on maintaining and advancing the Tenant Based - Section 8 Program. Her role will be exclusively with this program, and she will be utilizing the office formerly occupied by the Grant's Program.

**CENTRAL WISCONSIN STATE FAIR**  
**BOARD MINUTES**  
**August 18, 2014**  
**7:30 PM**

**ROLL CALL:** Present: Board Members: Marilyn Heiman, Jeff Viergutz, Ed Wagner, Carol Berg-Kappel, Bob Ashbeck, Larry Gilbertson, Chris Jockheck., Kathy Banks, Dennis Stroetz, Melissa Hanke, Alanna Feddick

Adam Fischer-General Manager.

Absent: Tim Heeg, Dan McGiveron, John Garbisch, Andy Keogh, Gary Bymers, Keith Kay

Called to order at 7:30 pm.

**Minutes of July 21, 2014 Regular Board Meeting** Kathy Banks moved to approve the minutes as presented, Dennis Stroetz seconded the motion, the motion carried.

**Treasurer's Report: Marilyn Heiman** Adam began with the balance sheet and an explanation as printed in the board packet. The balances were reported. Dennis Stroetz moved to approve, Chris Jockheck seconded, and report was approved as presented.

**Manager's Report:** Adam discussed staff changes, Kelly Kobs has been hired. There will be a retirement party for Shirley Post on Friday Sept 5<sup>th</sup>. A job signup sheet for Fair duties was passed around. The manager is getting to know the finances. He will also visit our sponsors. There is a need to increase revenue. There have been conversations with Pat Fellenz who submitted his bill. Discussion followed. Kathy Banks moved to not pay the Pat Fellenz bill, seconded by Ed Wagner. Discussion concerning accountability and past concerns took place. It was moved and seconded to table the motion. Motion was tabled. Adam gave a sponsorship update. Marshfield News Herald pulled their sponsorship this year of \$600. Advertising has already been printed, for example, on the employee tee shirts. Kathy Banks offered to have them reprinted by another resource paid for by sponsorship. Offer was approved. Adam will be attending the Fair Commission meeting next week and will ask for an extension of our agreement with the city.

**Committee Reports:**

**Junior Fair:** **Melissa Hanke** reported the possibility of painting the Green Barn, no entry problems.

**Market Animal:** **Larry Gilbertson** reported the committee offered the opportunity for youth needing an additional educational meeting to travel to State Fair. No other meetings. Ed Wagner asked if the animal committees are ready for the Fair.

**Draft Horse: Dennis Stroetz** reported they are ready to go. Sponsorship proceeds were reported, entries are at 105. They will not need additional tents this year. Help is needed with changing back the stalls after the draft horse show, wondering if the work release people could help. A meeting is scheduled for after the Fair.

**Building & Grounds: John Garbisch** Larry Gilbertson reported for John. The grounds walk around was held with the Parks and Rec Department before we take control of the grounds. Issues were noted. Parking lots have been marked by John and his family. Help will be needed to stand up posts for the county pounder.

**Advertising: Jeff Viergutz** reported on: TV ads, posters, Fair book ads, and Truck pull advertising.

**Parking & Signage: Dan McGiveron** No meeting. A meeting is scheduled for tomorrow evening.

**Livestock: Larry Gilbertson** No Meeting, however there has been conversation with the superintendents. Goat pens are being built and ready for the Fair. Marilyn expressed concern over other animal exhibits coming into the show palace to use the picture area during a show.

**Vendor Relations: John Garbisch** Adam reported a meeting is scheduled for this Saturday.

**Non-Fair:** No Meeting. Adam reported all committees should meet after the Fair. Larry recapped the Liberty Classic show and the Appaloosa show, the Jersey show, the Dog show, and the Livestock Breeders show.

**Fairest of the Fair: Marilyn Heiman.** Fairest coronation was held August 5<sup>th</sup> at the Eagles Club. Some board members were in attendance. There were six contestants this year. The cake auction was successful.

**Entertainment: Adam Fischer:** Everything is set. We required Brian Saurer to sign a MOU (Memorandum of Understanding). Denny Stroetz asked if we need more golf carts.

**Ad Hoc committee on the Future of the Fair: President Larry Gilbertson** No meeting. The committee will meet after the Fair in September.

**Fair Commission Report: Bob Ashbeck** reported: They will meet Wednesday.

**Old Business:** No old business.

**New Business:** Questions and discussion concerning Pat Fellenz. Issue is tabled.

As no other business was offered the meeting adjourned at 8:26 pm.

The next meeting will be held at 7:30 pm on October 20, 2014 at the MACCI office, 700 S. Central Avenue.

Submitted by Larry Gilbertson

COMMUNITY DEVELOPMENT AUTHORITY

August 26<sup>th</sup>, 2014

The Financial meeting of the Community Development Authority was called to order by Finance Committee Chairperson Dave LaFontaine at 1:30 p.m. in the Cedar Rail Court Executive Conference Room, located at 601 S. Cedar Avenue, Marshfield, WI.

Affirmation of Proper Posting of Meeting: Meeting posted August 14<sup>th</sup>, 2014 at 1:37 p.m.  
Addendum posted August 25<sup>th</sup>, 2014 at 1:13 p.m.

ROLL CALL:

PRESENT: Dave LaFontaine, Dave Marsh, Peter Hendler

OTHERS PRESENT: Andy Keogh – Commission Chair, Mary James-Mork - Executive Director, Carol Kerper – Business Manager, Tim Geldernick – Environmental Services Manager

Declaration of a Quorum.

Identify any Potential Conflicts of Interest: None

Invitation for Public Comment: None

Review of Financial Reports for July of 2014:

CDA 14-F08-1 Motion by Marsh, second by Hendler to accept and recommend the financial reports for board approval. 3 Ayes

MOTION CARRIED

Update on Cedar Rail Roof Project: The final walk through inspection with the roofing contractor and CDA Environmental Services Manager has been completed with the work found to be satisfactory. The final payment to the roofing contractor has been issued.

Update on RMM Solutions Phone System Proposal: The Finance Committee accepts the recommendation of CDA management who conducted the proposal review on August 8<sup>th</sup>. A recommendation that the Board of Commissioners proceed with the implementation of the phone system will be presented at the September Board meeting.

Next meeting date will be Tuesday, September 16<sup>th</sup>, 2014 at 1:30 p.m. in the Cedar Rail Court Executive Conference room.

Agenda Items: Preliminary Numbers for Revised Budget

RAD Updates

Maintenance Activities Updates

RMM Follow-up Updates

Meeting adjourned at 2:56 p.m.

Respectfully submitted,

Mary James-Mork  
Executive Director

Dave LaFontaine  
Chairperson

**MARSHFIELD UTILITIES, A MUNICIPAL UTILITY**  
**MARSHFIELD UTILITY COMMISSION**  
**September 8, 2014**

**COMMISSION MEETING MINUTES**

A regular meeting of the Marshfield Utility Commission was called to order by President Mike Eberl at 4:00 pm on September 8, 2014 in the downstairs meeting room of the utility office. Present were Commissioners Harry Nienaber, Mike Eberl, George Holck, Aaron Pitzenberger, and John Maggitti. Also present were the Utility staff. Absent were Alderperson Charlotte Smith and Alderperson Gordon Earll.

- A 10 year service award was presented to Michael Vanderwyst.
- Commissioner Harry Nienaber was presented with a plaque for 10 years of service on the Commission.

**UC/14-65** Motion by Pitzenberger, seconded by Maggitti, to dispense with reading the minutes of the previous meetings and accept them as submitted. All ayes, motion carried.

**UC/14-66** Motion by Holck, seconded by Nienaber, to approve payroll for August in the amount of \$136,166.58 and general bills for August in the amount of \$4,454,389.55. All ayes, motion carried.

**UC/14-67** Motion by Maggitti, seconded by Nienaber, to approve the following job orders:

JO #7450	Ceiling for diesel unloading bay-M1: installing ceiling to prevent birds from ruining area.	\$25,200
JO #5699	Replace valve at Hinman & Upham.	\$7,016.93
JO #17778	UG Pri. Conversion, Manchester Ct. Apts.: installing underground single phase primary loop to replace aged overhead primary line. Installing a three phase OH primary tap off of a T-line pole on Adam to help work toward removal of OH 3PH in a backyard setting.	\$48,224

All ayes, motion carried.

**July 2014 Financial Statement Notes**

**Electric Utility**

- Net income was \$216 thousand for the month, with a net income of \$805 thousand year-to-date.
- Net operating income was \$209 thousand for the month, compared to budgeted net operating income of \$353 thousand. Year-to-date net operating income was \$1.5 million, compared to budgeted net operating income of \$1.7 million.
- After adjusting for the PCAC timing, the net operating income for the month was \$272 thousand.
- Operating expenses for the month included \$9 thousand for maintenance of the heat pump at the main office, and \$11 thousand for GIS and SCADA licenses.
- July consumption was down 3.29% from July 2013, due to the cooler temperatures this year. Year-to-date consumption was up 2.48%. Year-to-date energy losses were 1.74%, compared to prior year losses of 2.75%.

**Water Utility**

- Net income was \$14 thousand for the month, with a net income of \$134 thousand year-to-date.

- Net operating income was \$34 thousand for the month, compared to budgeted net operating income of \$75 thousand. Year-to-date net operating income was \$278 thousand, compared to budgeted net operating income of \$375 thousand.
- Operating expenses for the month included \$25 thousand for well 23 rehabilitation, and \$11 thousand for GIS and SCADA licenses.
- July consumption up .33% from July 2013. Year-to-date consumption was up 0.28% from the prior year. Year-to-date water losses were 15.88%, compared to prior year losses of 12.43%.

#### Communication Utility

- Net income was \$12,617 for the month, compared to budgeted net income of \$11,203. Year-to-date net income was \$149,265, compared to budget net income of \$65,988.
- The \$5,079 in the Other Income (Expense) section of the income statement was a contribution from a customer for a fiberoptic project.
- The Managers reviewed noteworthy projects including:
  - Electric: The Hume substation project has started and is going smoothly.
  - Water: Samples of the water main lining material and a section of main were shown.
  - Technical Services: Our regional safety coordinator has resigned and we will work with MEUW to find a replacement.
- The General Manager and Office Manager reviewed results from a customer survey on office hours.
- The General Manager reviewed the revised strategic plan.

**UC/14-68** Motion by Nienaber, seconded by Maggitti, to adjourn. All ayes, motion carried. Meeting adjourned at 4:41 p.m.



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John Maggitti, Secretary

**Department: Electric**  
**Manager: Nicolas Kumm**  
Contributing: Greg Geiger  
Month: August 2014

- The M-1 generator ran twice during the month August for a total of 7 hours. Cole Eswein, Dustin Oleson, and Ray Burrill completed the annual real-power test on August 12<sup>th</sup>.
- Cole and Dustin took oil samples from substation equipment and completed infrared scanning of the main feeders along with pad mount transformers.
- Dustin completed the first week of the Meter Technician Apprenticeship training at Mid-State Technical College August 18<sup>th</sup> through 22<sup>nd</sup>.
- Faith Schmidt is sending out letters for the water meter AMI change-outs and scheduling appointments with customers for the water meter AMI change-out. She has also been sending out letters to customers with electric meter socket/pedestal issues.
- Nicolas Whipple has been working on annual load flow analysis along with assisting with the fall substation project planning/review and the Roehl fiber project.
- The fall substation work is scheduled to begin on September 8<sup>th</sup> at Hume substation. All work is currently on schedule.
- Ryan Steffen and Randy Ayers responded to a request for mutual aid from Wisconsin Rapids. They were needed for approximately 6 ½ hours to help get their system up.
- Mike Vanderwyst and Tim Haberneyer have completed the Mill Creek upgrade with the exception of pulling poles.
- Jeff Irish and Randy Ayer, and Jim Seefluth have completed the Robin Road project.
- Jeff Holbrook and Ryan Steffen have Dunkin' Donuts ready to be energized. They also worked on Hawthorne and Peach.

**Department:** Office  
**Manager:** Kent S. Mueller  
**Month:** August 2014

- Work has begun on the Capital and O&M Budgets. Patti Sternitzky coordinates the budget development process and has provided department managers with a timeline and initial budget planning documents. The first review of the Capital Budget is planned for the October Commission meeting and the first O&M review will in November.
- Key dates have been set for placement of unpaid water/sewer arrears on the property taxes for this fall. Notices will be mailed to customers on October 15<sup>th</sup>, and penalties will be applied to unpaid amounts on November 3<sup>rd</sup>. On November 17<sup>th</sup>, any unpaid arrears and penalties will be written off from the customers' accounts, and given to the City Clerk. These will be added to the property owners' 2014 property taxes.
- There were 86 customers disconnected in August due to non-payment. For the summer months of June, July, and August, 211 customers were disconnected, compared to 196 for the same months in 2013. The number of deferred payment agreements written in June, July, and August was 374, compared to 369 for the same months in 2013.
- With the approval from the Utility Commission and the City of Marshfield in August, the sale of the 2014 water revenue bonds, in the amount of \$3.9 million, is scheduled for September 23<sup>rd</sup>, with the proceeds to be received on October 14<sup>th</sup>.
- New water rates went into effect on July 1<sup>st</sup>. Since we don't prorate our bills for rate changes, we can only bill the new rates when the beginning read date is after the effective date. Therefore, we billed the new rates to customers on their August bills. The Simplified Rate Case resulted in an increase of 3% on the public fire protection charge, the general service customer charge, and all volume charges.
- Each August, the monthly rent payment from AT&T for the lease of space on the Grant Park Water Tower increases 4 percent. We will receive rent of \$2,163.20 per month for the next year.

**Department: Technical Services**

**Manager: Cathy Lotzer**

**Contributing: Heather Young, Shawn Marsh, Jim Benson**

**Month: August 2014**

- Cathy and all department managers met with Allen Birschbach to update our space needs so he could begin a design schematic for a future building.
- Cathy, Jim, Nick, and John met with MSA and Doine for a preconstruction meeting to discuss the parking lot project. The project required rebidding due to bid document confusion with state wage information. The new bid opening and Commission approval was on August 29<sup>th</sup> with Doine awarded to bid and anticipating start in early September.
- Cathy and Shawn have been working with Per Mar on the camera project. The new server is on order to be delivered and installed in the upcoming weeks. Four cameras at the main office (3 existing and one new) will be used with the new server. Jim is working with a local contractor on current placement and connectivity issues.
- Jim and Shawn are working together with a local contractor on current camera placements and connectivity issues.
- Cathy and Heather spent several days with Bill Bateman from GDS on our annual self-assessment. There were no findings as a result of the assessment. Heather continues to make process improvements as a follow-up. Heather, Cathy, Nick, Bob and Bill Bateman (GDS) held the third quarter Internal Compliance Committee meeting during this time.
- Heather, Cathy, and Nick have been working on updating Great Lake Utilities Operation Procedure Manuals.
- Heather and Nikki have been working on scanning all Safety Data Sheets for electronic storage.
- Heather and Cathy have been working with GDS Associates, Inc., Bill Bateman and Daniel Wittliff, on an environmental services proposal and scheduling for an environmental audit.
- Heather and Jim have been working with the Girl Scouts and Arnie Mancl on the Village of Milladore's Groundwater Guardians Green Site application.
- Shawn and Cathy set up a new projector in the small conference and tested it with an iPad and iPhone. They also worked to set up the VPN and remote desktop for use on Cathy's iPad so it can be used for future meetings/webinars as needed.
- Jim is working to get a quote for replacement of lower level doors at the WTF.
- We've seen an increase in residential audits as well as with new construction, both business and Multi-Family.
- Jim has had positive communication with the Park and Rec department related to parking at the swimming pool during our parking lot construction.

**Department:** Water  
**Manager:** Dave Wasserburger  
**Month:** August 2014

- Although the Zoning Board of Appeals approved zoning variances to allow the construction of a new water tower at 1715 E Depot Street and the construction to building required to contain a controlling valve needed to operate the water system they denied a variance to allow the use of temporary cranes to aid in the construction of the new water tower. Plans for the city water tower are uncertain at this time.
- We have installed about 3000 AMI meters to date. This is about 36% of the total number of meters we need to install to complete the project.
- The Cured-In-Place-Pipe (CIPP) projects on Adams Avenue and on Adler Road are progressing ahead of schedule. The Adams CIPP portion is complete and all that remains on Adler is flushing of the pipe and collecting water samples. Once safe samples are collected the water services can be reconnected, the temporary water lines removed and the street openings can be patched. If all progresses as planned we should be totally completed by the end of September.
- The North Hume Avenue water main extension has been completed. The main has been pressure tested and all that remains to be done is the flushing and bacteriological sampling. The main can be placed in service when we have safe BacT test results.
- The Columbus Avenue water main replacement project has been completed. 514 feet of 6" high-density polyethylene pipe was installed. The HDPE was installed by directional boring to minimize street repair. This project went very smoothly. J & R Underground was the contractor for the boring portion of the project.
- We were able to spend some time this month doing a leak survey. Three leaks were found and repaired. A service leak was repaired at 407 S Maple. We estimated this leak to be 25 gpm. A water main was found broken at 8<sup>th</sup> and Cedar. The storm sewer had been installed directly on top of the water main. The water main was broken and leaking directly into the storm sewer. We estimated this leak to be 30 gpm. A water main break was found and repaired at 210 N Wood. The water was getting directly into the storm sewer. We estimated this leak to be 70 gpm. The total of these leaks was 125 gpm or 180,000 gallons per day. These leaks were likely the result of the harsh winter and never surfaced. We will continue the leak survey as time permits.
- Dead-end water mains were flushed this month.
- The building for the new well is under construction. The foundation is poured and cured. The contractor is currently laying the block for the walls. The structure should be completed by the end of the month. We will then begin installing the pumping, electrical and controlling equipment.

### **Groundwater Guardians**

The Marshfield Police Department continues to collect unwanted and expired pharmaceuticals.

## SUSTAINABLE MARSHFIELD COMMITTEE MEETING MINUTES, September 9, 2014

Julie Schafer, Chairperson, called the meeting to order at 5:30 p.m. in Executive Conference Room, City Hall Plaza.

**Members Present:** Char Smith, Randy Lueth, Dorothy Schnitzer, Julie Schafer, Jackie Ruby, and Sue Weister (arrived at 5:35 p.m.)

**Members Absent:** Marty Anderson

**Others Present:** Amy Krogman and Floreine Kurtzweil

**SMC14-15** Motion by Ruby, 2<sup>nd</sup> by Lueth to approve the July 8, 2014 minutes..

**Motion Carried**

### **Citizen Comments:**

Kurtzweil shared news articles regarding recycling. Krogman will send copies of these articles to the committee members.

Ruby reported there were recycling bins at the fair.

### **RECYCLING EDUCATION IDEAS – PUBLIC ACCESS TAPING:**

Nothing has been set up yet. Lueth is hoping to get something set in the next month.

### **NATURAL STEP TRAINING**

Lueth had looked into finding someone to do training for City employees. The only place who could possibly do training would be someone from Sustain Dane and the cost would probably be prohibitive. The committee decided to not do this in 2015, but will revisit in 2016.

### **2015 EDUCATION IDEAS**

Smith distributed an article she had found in the Northland College magazine regarding a method that was developed at Northland College and the University of Wisconsin – Eau Claire that turns plastic soda bottles and cups into useful small molecules. She is going to see if it is possible for someone from this team to come to Marshfield.

Ruby had looked into other education ideas. Some suggestions are:

- ✓ Film event at library, church, university, café
- ✓ Tree planting day/native vegetation/removal of invasive species
- ✓ UW extension speakers
- ✓ Green lawns (group discounts for projects/vendors)
- ✓ Food Festival (local restaurants, nutrition groups, food suppliers can be used as fundraiser)
- ✓ Earth Day parade/march
- ✓ Contest: poster, essay, slogans, poetry, photography, plays, songs, art, public services announcement
- ✓ Clean up community green areas
- ✓ Kids build a sculpture with recycled materials
- ✓ Waste collection hazardous materials, electronics

- ✓ Walk/run event/fundraiser
- ✓ Hike
- ✓ Speaker
- ✓ Paint mural on Earth Day topic on local prominent building
- ✓ Waste Management talk/tour
- ✓ Focus ideas; Water pollution, waste management
- ✓ Kids: Bottle rocket with recycled plastic bottles, make recycled paper, solar ovens, recycled art, shakers, box guitars, drums from recycled materials
- ✓ Worm bin
- ✓ Walk to Bike to work/school day
- ✓ Green cars: Biodiesel
- ✓ Solar Panel Discussion & demonstration
- ✓ Game show – environmental questions
- ✓ Local plants/animals/nature walk
- ✓ Free cycle/swap meet

The committee discussed these suggestions and would like to pursue the public service announcement and a Halloween costume made out of recyclable materials contest.

### **NEWS HERALD ARTICLES**

October – Dorothy Schnitzer  
November – Julie Schaefer  
December – Char Smith  
January – Randy Lueth

### **Future agenda items**

Recycling Education  
2015 Educational ideas

Motion by Smith, 2nd by Lueth to adjourn at 6:05 p.m.

Respectfully submitted,  
Amy Krogman  
Administrative Assistant III

## **Economic Development Board meeting September 11, 2014**

Present: Ron Dickrell, Bill Sennholz, Ed Wagner and Pete Hendler  
Absent: Al Michalski, Tammy Meissner, and Aaron Stabb  
Others: Angie Dieringer, Jason Angell, Amy Krogman, Karen Olson

Sennholz called the meeting to order at 3:00 p.m. in Room 108 of the City Hall Plaza.

**EDB14-38** Motion by Dickrell, 2<sup>nd</sup> by Wagner to approve the minutes from August 21, 2014 meeting.

**Motion carried**

### **Conflicts of interest**

No members indicated that they had a conflict of interest with anything on the agenda.

### **Citizen Comments**

None

### **Economic Development Updates**

Angell reported the Downtown Master Plan Informational meeting will be held on September 17<sup>th</sup> from 5:30 – 7:30 at the Chestnut Center for Arts. The committee was asked to RSVP if they were going to attend.

Dieringer reported that she will be attending Maple Fall Fest to see if any of the vendors would be interested in opening a store in the downtown. She stated that Wibben Photography has moved and Beach Nails is closed. She has done 7 business calls. The parking coupons have not been a solution to the parking problem. This issue is still being looked at. Considering a “First Impression Program” where you swap with another community and rate their downtown.

Olson reported there are several ribbon cuttings coming up in the next month. She has been working with Rita Blenker with businesses that are looking for a building that is in the 10,000 – 30,000 sq. foot range. Businesses have not moved to Marshfield because there are no buildings available.

### **Status of Housing Program and Projects**

Angell stated that He also stated that Josh Miller and Sam Schroeder are working on their projects. They should be ready to report back to the committee in 1 or 2 months. Mary James-Mork is still working on a rental rating program. The City of La Crosse had recently been taken to court and their ordinance was upheld.

### **2015 Wood County Budget Request**

The CEED Committee and Executive Committee have approved our request.

## **1997 Housing Incentive Program**

The committee discussed the Housing Incentive Program from 1997. The committee felt that this is something that they would like to consider reenacting, with changes/updates, to help support our housing initiative. Staff was asked to bring this item back to a future meeting for additional discussion and asked that Dan Knoeck also be present to discuss how the old program worked.

## **Hospitality Feasibility Study**

The City of Marshfield had a Hospitality Feasibility Study completed in the last 90's. When the hotel chains consider building in Marshfield, they only look at the other chain hotels. This will include all hotels in town.

**EDB14-39** Motion by Wagner, 2<sup>nd</sup> by Hendler to contract with HMI, Inc. to conduct a comprehensive market study not to exceed \$8,600.

**Motion carried**

**EDB14-40** Motion by Wagner, 2<sup>nd</sup> by Dickrell to go into closed session under Wisconsin Statutes Chapter 19.85 (1)(e), Deliberating or negotiating the purchasing of public properties, the investing of public funds, or conducting other specified public business, whenever competitive or bargaining reasons require a closed session. The purpose of the closed session is to consider possible acquisition of land for future development. Roll call vote, all ayes (time: 3:52 p.m.) **Motion Carried**

Present in closed session: Dickrell, Sennholz, Wagner, Hendler, Angell, Dieringer, Olson and Krogman

**EDB14-41** Motion by Dickrell, 2<sup>nd</sup> by Hendler to go back into open session. Roll call vote, all ayes. (Time: 4:15 p.m.)

There was no action on matters discussed in closed session.

Motion by Hendler, 2<sup>nd</sup> by Dickrell to adjourn at 4:17 p.m.

Respectfully submitted,  
Amy Krogman, Administrative Assistant III

**BOARD OF PUBLIC WORKS MINUTES**  
**OF SEPTEMBER 15, 2014**

Meeting called to order by Chairman Feirer at 5:30 PM in the Council Chambers of City Hall Plaza.

**PRESENT:** Mike Feirer, Tom Buttke, Gary Cummings, Chris Jockheck, , and Ed Wagner

**EXCUSED:** None

**ALSO PRESENT:** Alderman Earll; City Administrator Barg; Director of Public Works Knoeck; City Engineer Turchi; Assistant City Engineer, Cassidy; Building Services Supervisor Pokorny; Cemetery Coordinator, Baltus; Acting Street Superintendent Winch; Acting Assistant Street Superintendent, Rasmussen; City Planner Miller; Ken Wood, City Plan Commission; John White and Jane Sautner-Yeager, Parks Recreation & Forestry Committee; the media; and others.

**PW14-129** Motion by Cummings, second by Buttke to recommend approval of the minutes of the September 2, 2014 Board of Public Works meeting.

**Motion Carried**

**Citizen Comments - None**

Acting Street Superintendent Winch presented a Street Division construction update. City Engineer Turchi presented an Engineering Division construction update.

Jeff Bahling of Rettler Corporation presented an overview of the Comprehensive Outdoor Recreation Plan.

**PW14-130** Motion by Buttke, second by Cummings to recommend the adoption of the Comprehensive Outdoor Recreation Plan and to refer to the Common Council for consideration

**Motion Carried**

Mike Baltus, Cemetery Coordinator initiated discussion regarding the future of Vaughn-Hanson Chapel at the Cemetery. The Board heard from Myron Silberman, 313 S Adams, the architect for the original construction and an advocate for trying to save the building. He acknowledge that the building has not been used for its original purpose so the challenge is to find a new use for the building.

**PW14-131** Motion by Wagner, second by Buttke to give local groups 6 months to develop a plan for future use of the building and possible funding and if not accomplished then it will be torn down.

**PW14-132** Motion by Buttke, Wagner to amend motion **PW14-131** to give groups until September 1, 2015 to develop a plan

**Motion Carried**

Vote on **PW14-131** as amended.

**Motion Carried**

**PW14-133** Motion by Wagner, second by Cummings to allow Woodstock Construction to use unopened Blodgett Street right-of-way for driveway purposes, subject to the following conditions:

- An easement document shall be drafted for execution by the City and the property owner.
- The owner shall be responsible for all costs of construction, maintenance, and repair, including snow removal of that portion of the right-of-way used for driveway purposes.
- No compensation will be granted for the cost of the driveway when it is removed due to opening of Blodgett Street.

**Motion Carried**

Update on drainage issues in the North Hills Subdivision area was delayed to the next meeting.

**PW14-134** Motion by Buttke, second by Wagner to approve Board of Public Works Resolution No. 1-2014 for ordered sidewalk repairs.

**Motion Carried**

**PW14-135** Motion by Cummings, second by Jockheck to adjourn to closed session at 6:52 PM pursuant to Wisconsin Statute Chapter 19.85(1)(e) Deliberating or negotiating the purchasing of public properties, the investing of public funds, or conducting other specified public business, whenever competitive or bargaining reasons require a closed session.

- **City Hall Plaza Leases**

**Roll call vote, all 'Ayes' Motion Carried**

**Present in Closed Session:** Alderman Feirer, Buttke, Cummings, Wagner, Jockheck and Earll, City Administrator Barg, Director of Public Works Knoeck, City Engineer Turchi & Building Services Supervisor Pokorny.

**PW14-136** Motion by Jockheck, second by Cummings to reconvene in open session at 7:07 PM.

**Roll call vote, all 'Ayes' Motion Carried**

**PW14-137** Motion by Wagner, second by Buttke to recommend approval of a lease agreement with Dr. Dean Funk for space on 3<sup>rd</sup> floor of City Hall Plaza and authorize execution of the lease.

**Motion Carried**

**Recommended items for future agendas - None**

Motion by Buttke, second by Cummings that the meeting be adjourned at 7:08 PM.

**Motion Carried**

Daniel G. Knoeck, Secretary  
BOARD OF PUBLIC WORKS

COMMUNITY DEVELOPMENT AUTHORITY

September 16<sup>th</sup>, 2014

The Financial meeting of the Community Development Authority was called to order by Finance Committee Chairperson Dave LaFontaine at 1:33 p.m. in the Cedar Rail Court Executive Conference Room, located at 601 S. Cedar Avenue, Marshfield, WI.

Affirmation of Proper Posting of Meeting: Meeting posted September 15<sup>th</sup>, 2014 at 9:21a.m.

ROLL CALL:

PRESENT: Dave LaFontaine, Dave Marsh

OTHERS PRESENT: Andy Keogh – Commission Chair, Mary James-Mork - Executive Director, Carol Kerper – Business Manager, Tim Geldernick – Environmental Services Manager

Declaration of a Quorum.

Identify any Potential Conflicts of Interest: None

Invitation for Public Comment: None

Review of Financial Reports for August of 2014:

CDA 14-F09-1 Motion by Marsh, second by LaFontaine to accept and recommend the financial reports for board approval. 2 Ayes

MOTION CARRIED

Next meeting date will be Tuesday, October 21<sup>st</sup>, 2014 at 1:30 p.m. in the Cedar Rail Court Executive Conference room.

Meeting declared adjourned at 3:02 p.m. by Finance Committee Chair Dave LaFontaine.

Respectfully submitted,

Mary James-Mork  
Executive Director

Dave LaFontaine  
Chairperson

**JUDICIARY AND LICENSE COMMITTEE**  
**MINUTES OF SEPTEMBER 16, 2014**

Meeting called to order by Chairperson Wagner at 5:00 p.m., in the Common Council Chambers, City Hall Plaza.

**PRESENT:** Alderpersons Ed Wagner, Alanna Feddick and Gordon Earll

**ABSENT:** None

**ALSO PRESENT:** Police Chief Gramza and City Clerk Hall

**JLC14-091** Motion by Feddick, second by Earll to approve the minutes of the September 2, 2014 meeting.

**Motion carried**

**CITIZEN COMMENTS**

None

**JLC14-092** Motion by Feddick, second by Earll to approve by unanimous consent the following:

- a) Three (3) Beverage Operator Licenses for the 2013-2015 license year to: Kysha Baker, Ben Kutil and Amanda Kuehnhold.
- b) Temporary Class "B"/"Class B" Retailer's License (Picnic) license to Main Street Marshfield for October 1, 2014.
- c) Temporary Class "B" Retailer's License (Picnic) license to the Marshfield Area Chamber of Commerce & Industry for October 23, 2014.

**Motion carried**

**JLC14-093** Motion by Earll, second by Feddick to grant a Beverage Operator License to Cassandra Kuhlka with 25 demerit points assessed for failure to list her violations.

**Motion carried**

**JLC14-094** Motion by Earll, second by Feddick to refer Charter Ordinance No. 26 to the Common Council, requiring that the position of Assessor be appointed by the Common Council for an indefinite term.

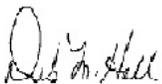
**Motion carried**

**Future Agenda Items**

None

Motion by Earll, second by Feddick to adjourn at 5:14 p.m.

**Motion carried**



Deb M. Hall  
City Clerk

**FINANCE, BUDGET AND PERSONNEL COMMITTEE**  
**MINUTES OF SEPTEMBER 16, 2014**

Meeting called to order by Chairperson Feddick at 5:30 p.m., in the Common Council Chambers, City Hall Plaza.

**PRESENT:** Alderpersons Alanna Feddick, Gordon Earll, Rebecca Spiros, Peter Hendler and Char Smith

**ABSENT:** None

**ALSO PRESENT:** Alderperson Wagner, City Administrator Barg and City Personnel (Keith Strey, Lara Baehr, Brenda Hanson, Bob Haight, Dan Knoeck, Sam Warp, Mike Winch and Deb Hall)

**Citizen Comments**

None

**FBP14-067** Motion by Smith, second by Spiros to approve the items on the consent agenda:

1. Minutes of the August 19, 2014 meeting.
2. Payroll in the amount of \$853,545.23 and the Bills in the amount of \$634,715.63 and \$1,099,757.81.
3. Report of Personnel Actions of September 16, 2014.
4. Monthly Position Control Report of August 31, 2014.
5. August 2014 Treasury Report.

**Motion carried**

No items were removed from the consent agenda.

**FBP14-068** Motion by Hendler, second by Earll to approve revised Personnel Policy No. 3.800, Compensation Plan effective September 9, 2014.

**FBP14-069** Motion by Hendler, second by Earll to change Temporary Assignment (Acting Duties) additional compensation pay to begin right away instead of four (4) weeks).

**Motion carried**

**FBP14-070** Motion by Smith, second by Spiros to remove the language pertaining to the City Assessor and City Clerk until the Charter Ordinances pertaining to these positions are acted on by the Council.

**Motion carried**

**FBP14-071** Motion by Hendler, second by Feddick to amend the Merit Review Committee to include the Chair of the Finance, Budget and Personnel Committee or designee and the Council President or designee.

**Motion carried**

**FBP14-072** Motion by Feddick, second by Hendler to add back in the language regarding employees who are approved for merit will receive a commendation letter from the Mayor of Marshfield with a copy to their personnel file.

**Motion carried**

Vote on motion **FBP14-068 as amended**.

**Motion carried**

**FBP14-073** Motion by Hendler, second by Earll to recommend approval of Budget Resolution No. 24-2014 to the Common Council, transferring \$70,000 from a State Trust Fund Loan for development incentives per the Development Agreement with JT Marshfield.

**Motion carried**

**FBP14-074** Motion by Earll, second by Hendler to approve the 2015 ambulance contracts with the surrounding towns and villages.

**Motion carried**

**FBP14-075** Motion by Earll, second by Feddick to recommend approval of Resolution No. 2014-053 to the Common Council, adopting an ambulance rate schedule to be effective January 1, 2015.

**Motion carried**

**FBP14-076** Motion by Spiros, second by Smith to approve filling the position of Wastewater Operator in the Wastewater Utility.

**Motion carried**

Discussion on which positions need approval by the Finance, Budget and Personnel Committee and/or how often. The Committee directed the City Administrator to bring back a proposal for their consideration.

City Administrator Barg talked about the request from the Village of Spencer to join the City's Municipal Court. Staff is still working through some of the issues. He told the committee if they had any issues or concerns that they would like to bring up they should let him know.

City Administrator Barg updated the committee on the implementation of the staffing study recommendations.

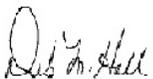
- Support Technician Position – The Technology Director has submitted a request for this position in the 2015 Budget which includes cutting back on the interns for that department.
- Strategic Planning Sessions – Two 2 hour sessions will be held. One just for the Council and the second one would include staff.
- The relocation of the Finance Department to the 5<sup>th</sup> floor has begun. The Assessor's Department is in the process of moving to the 2<sup>nd</sup> floor. Once their current space is open the 7<sup>th</sup> floor Finance Department will start to relocate to the 5<sup>th</sup> floor. By the end of Fall both of those departments should be moved and the Finance department will all be on one floor.

### **FUTURE AGENDA ITEMS**

1. Policy for filling open positions.

Motion by Spiros, second by Smith to adjourn at 6:26 p.m.

**Motion carried**



Deb M. Hall  
City Clerk

**CITY PLAN COMMISSION  
MARSHFIELD, WISCONSIN  
MINUTES OF SEPTEMBER 16, 2014**

Meeting called to order by Chairman Meyer at 7:00 PM in the Council Chambers of City Hall Plaza.

**PRESENT:** Mayor Meyer, Ed Wagner, Ken Wood, Laura Mazzini, Rich Reinart, John Beck and Bill Penker

**ABSENT:** None

**ALSO PRESENT:** Alderman Earll, Alderman Buttke, City Administrator Barg; Director of Public Works Knoeck; Planning & Economic Development Director Angell; City Planner Miller; John White and Jane Sautner-Yeager, Parks Recreation & Forestry Committee; the media; and others.

**PC14-70** Motion by Beck, second by Wood to recommend approval of the minutes of the August 19, 2014 City Plan Commission meeting.

**Motion Carried**

**PUBLIC HEARING** – Rezoning request by Josh Gluege, representing the property owners Lonnie and Paulette Peterson, to change the zoning of two vacant parcels located at 401 and 407 East 21<sup>st</sup> Street (parcels numbers 33-06268 and 33-06269), from “SR-3” Single Family Residential to “TR-6” Two-Family Residential District.

**COMMENTS:**

- William Pahl of 319 East 21<sup>st</sup> Street, bordering property in question. He is opposed to the request and concerned that property will not be kept up if it goes to duplexes. He keeps his property neat. The grass has not been cut so he ends up cutting it and maintaining the lots. Single family would be much better.
- Josh Gluege, He is proposing the change and understands the concerns. He agrees that rental properties can be a problem but he intends to keep property in good condition to attract better renters.

**PC14-71** Motion by Penker, second by Wood to recommend approval of the rezoning request by Josh Gluege to change the zoning from “SR-3” Single-Family Residential to “TR-6” Two-Family Residential District, for two vacant parcels located at 401 and 407 East 21<sup>st</sup> Street (parcels numbers 33-06268 and 33-06269) and request an ordinance be drafted for Common Council consideration.

**Motion Carried**

**PC14-72** Motion by Wood, second by Beck to recommend approval of the Master Sign Plan Request by Stratford Sign, on behalf of Marshfield Mall, with an exception to allow a new internally lit wall sign to face residentially zoned property to the south and to exceed the 200 square foot size allowance per façade, located at the Marshfield Mall at 503 East Ives Street, zoned “CMU” Community Mixed Use District.

**Motion Carried**

City Planner Miller reported that Common Council sent the Conditional Use request for Nutz Deep II off-site parking, back to the Plan Commission for review. Since that time staff has talked with both the applicant and Mr. Hiller and the request for the conditional use has been withdrawn.

**PC14-73** Motion by Wagner, second by Penker to accept the withdrawal of the Conditional Use Request application for Nutz Deep II off-site parking.

**Motion Carried.**

City Planner Miller presented an overview of the Comprehensive Outdoor Recreation Plan, highlighting some of the recommendations.

**COMMENTS:**

- Penker commented that he is concerned with identification of bicycle routes on city streets and feels that proper signage and on designation on area maps is needed.
- Reinart stated that the Hefko Pool language in the plan references a pool renovation but he feels the language should be broader to encompass possible reconstruction.
- Wagner liked the recommendation that master plans be completed for all the parks especially the larger parks. He also feels ADA compliance is a priority. Also, he was happy to see that the Plan Commission should review the parkland dedication ordinance.

**PC14-74** Motion by Wood, second by Beck to adoption of the Comprehensive Outdoor Recreation Plan and refer to the Common Council for consideration.

**Motion Carried**

Director of Planning and Economic Development Angell presented some staff suggestions for changes to our current ordinance for garage sales uses. The consensus of the Plan Commission was to bring back an ordinance change at the next Plan Commission meeting for consideration.

City Planner Miller informed the City Plan Commission of the Downtown Master Plan Public Meeting on Wednesday, September 17, 2014.

There being no objections, the Mayor adjourned the meeting at 7:56 PM.

**Daniel G. Knoeck, Secretary**  
**CITY PLAN COMMISSION**

RESOLUTION NO. 2014-56

RESOLUTION SUPPLEMENTING RESOLUTION NO. 2002-33; AWARDING THE SALE OF \$3,900,000\* WATER SYSTEM REVENUE BONDS, SERIES 2014; AND PROVIDING FOR THE PAYMENT OF SAID BONDS AND OTHER DETAILS AND COVENANTS WITH RESPECT THERETO

WHEREAS, the City of Marshfield, Wood and Marathon Counties, Wisconsin (the "Municipality") owns and operates a water system (the "System") which is operated for a public purpose as a public utility by the Municipality;

WHEREAS, under the provisions of Section 66.0621 of the Wisconsin Statutes any municipality may, by action of its governing body, provide for purchasing, acquiring, leasing, constructing, extending, adding to, improving, conducting, controlling, operating and managing a public utility from the proceeds of bonds, which bonds are to be payable only from the revenues received from any source by such utility, including all rentals and fees;

WHEREAS, pursuant to Resolution No. 2002-33 adopted by the Common Council of the Municipality (the "Governing Body") on May 28, 2002 (the "2002 Resolution"), the Municipality has heretofore issued its Water System Revenue Bonds, Series 2002, dated June 1, 2002 (the "2002 Bonds"), which 2002 Bonds are no longer outstanding;

WHEREAS, the Municipality has also heretofore issued and has outstanding its Water System Revenue Bonds, Series 2006, dated October 1, 2006 (the "2006 Bonds") and Water System Revenue Bonds, Series 2009, dated June 1, 2009 (the "2009 Bonds") (the 2006 Bonds and 2009 Bonds shall be referred to collectively herein as the "Prior Issues") pursuant to Resolutions adopted by the Governing Body on September 26, 2006 and May 12, 2009, respectively (collectively, the "Prior Resolutions"), which supplemented the 2002 Resolution;

WHEREAS, pursuant to a resolution adopted by the Governing Body on August 26, 2014 (the "Sale Resolution"), the Governing Body has authorized the issuance and sale of Water System Revenue Bonds, Series 2014 (the "Bonds") for the purpose of paying the cost of additions, improvements and extensions to the System, including water main repair and replacement, purchase and installation of advanced metering infrastructure, well improvements, and construction of a water tower and related fees and expenses (the "Project");

WHEREAS, the 2002 Resolution provides that additional bonds may be issued on a parity with the Prior Issues upon compliance with certain conditions;

WHEREAS, to the best of the Governing Body's knowledge, information and belief, and in reliance upon the Certificate attached hereto as Exhibit B and incorporated herein by this reference, the Municipality complies with the conditions precedent to the issuance of additional bonds on a parity with the Prior Issues;

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\* Estimated, subject to change.

WHEREAS, for the purpose of paying the cost of the Project (including paying legal, financing, engineering and other professional fees in connection therewith, capitalized interest, and adding funds to the Reserve Account created by the 2002 Resolution), the Governing Body deems it to be necessary, desirable and in the best interest of the Municipality to sell the Bonds payable solely from the revenues of the System on a parity with the Prior Issues, which Bonds are to be authorized and issued pursuant to the provisions of Section 66.0621, Wisconsin Statutes, and the 2002 Resolution, as supplemented by the Prior Resolutions;

WHEREAS, other than the Prior Issues, no bonds or obligations payable from the revenues of the System are now outstanding;

WHEREAS, the Municipality has directed its financial advisor, Public Financial Management, Inc. ("PFM") to take the steps necessary to sell the Bonds;

WHEREAS, PFM in consultation with the officials of the Municipality, prepared an Official Notice of Sale (a copy of which is attached hereto as Exhibit A and incorporated herein by this reference) setting forth the details of and the bid requirements for the Bonds and indicating that the Bonds would be offered for public sale on September 23, 2014;

WHEREAS, the City Finance Director (in consultation with PFM) caused a form of the Official Notice of Sale to be published and/or announced and caused the Official Notice of Sale to be distributed to potential bidders offering the Bonds for public sale on September 23, 2014;

WHEREAS, the Municipality has duly received bids for the Bonds as described on the Bid Tabulation attached hereto as Exhibit C and incorporated herein by this reference (the "Bid Tabulation"); and

WHEREAS, it has been determined that the bid proposal (the "Proposal") submitted by the financial institution listed first on the Bid Tabulation fully complies with the bid requirements set forth in the Official Notice of Sale and is deemed to be the most advantageous to the Municipality. PFM has recommended that the Municipality accept the Proposal. A copy of said Proposal submitted by such institution (the "Purchaser") is attached hereto as Exhibit D and incorporated herein by this reference.

NOW, THEREFORE, BE IT RESOLVED by the Governing Body of the Municipality that:

Section 1. Definitions. The definitions in the 2002 Resolution apply to and are incorporated into this Resolution except as expressly amended below or unless the context expressly or by necessary implication requires otherwise. References in the 2002 Resolution to "Bonds" and "Additional Bonds" shall apply to the Bonds being issued pursuant to this Resolution unless otherwise provided herein. All references to specific sections in the 2002 Resolution also apply to the Bonds being issued pursuant to this Resolution unless otherwise provided herein.

Section 1(A). Restated, Additional and/or Amended Definitions. In addition to and/or in amendment of the terms defined above or in Section 1 above, the following terms shall have the

following meanings in this Resolution unless the text expressly or by implication requires otherwise:

"Annual Debt Service Requirement" means the total amount of principal and interest due on the Prior Issues, the Bonds and any Parity Bonds in any Bond Year;

"Bonds" means \$3,900,000 Water System Revenue Bonds, Series 2014 of the Municipality dated October 14, 2014, authorized to be issued by this Resolution;

"Fiscal Agent" means The Bank of New York Mellon Trust Company, N.A., which is herein appointed by the Governing Body pursuant to the provisions of Section 67.10(2), Wisconsin Statutes, to act as fiscal agent and paying agent for the Bonds;

"Original Purchaser" or "Purchaser" means the financial institution listed first on the Bid Tabulation;

"Parity Bonds" means bonds payable from the revenues of the System other than the Bonds but issued on a parity and equality with the Bonds and the Prior Issues pursuant to the restrictive provisions of Section 9 of the 2002 Resolution;

"Prior Issues" means the 2006 Bonds and 2009 Bonds, collectively;

"Project" means additions, improvements and extensions to the System, including water main repair and replacement, purchase and installation of advanced metering infrastructure, well improvements, and construction of a water tower and related fees and expenses. All elements of the Project are to be owned and operated by the Municipality as a part of the System as described in the preamble hereto;

"Reserve Requirement" means an amount equal to the least of (i) the Reserve Requirement prior to the issuance of the Bonds, plus 10% of the proceeds of the Bonds, (ii) the maximum amount of principal and interest due on the Prior Issues and the Bonds in any Bond Year or (iii) 125% of average annual debt service on the outstanding Prior Issues and the Bonds. If additional Parity Bonds are issued which are to be secured by the Reserve Account, the Reserve Requirement shall be an amount equal to the least of (i) the Reserve Requirement prior to the issuance of such Parity Bonds, plus 10% of the proceeds of such Parity Bonds or (ii) the maximum amount of principal and interest due on the outstanding Prior Issues, the Bonds and the Parity Bonds in any Bond Year or (iii) 125% of average annual debt service on the outstanding Prior Issues, the Bonds and such Parity Bonds;

"2002 Resolution" means Resolution No. 2002-33 adopted by the Governing Body on May 28, 2002;

"2006 Bonds" means the Water System Revenue Bonds, Series 2006, dated October 1, 2006;

"2006 Resolution" means Resolution No. 2006-45 adopted by the Governing Body on September 26, 2006;

"2009 Bonds" means the Water System Revenue Bonds, Series 2009, dated June 1, 2009; and

"2009 Resolution" means Resolution No. 2009-20 adopted by the Governing Body on May 12, 2009.

Section 2. Award of the Bonds. For the purpose of paying the cost of the Project (including legal, fiscal, engineering and other expenses, capitalized interest, and increasing the amount on deposit in the Reserve Account), there shall be borrowed on the credit of the income and revenue of the System the sum of THREE MILLION NINE HUNDRED THOUSAND DOLLARS (\$3,900,000<sup>\*</sup>), and registered revenue bonds of the Municipality are hereby authorized to be issued in evidence thereof to the Purchaser for the sum set forth on the Proposal [as modified on the Bid Tabulation and reflected in the Pricing Summary referenced below and incorporated herein], plus accrued interest to the date of delivery resulting in a true interest cost as set forth on the Proposal [(as modified on the Bid Tabulation)]. The good faith deposit of the Purchaser shall be retained by the City Treasurer and applied in accordance with the Official Notice of Sale, and any good faith deposits submitted by unsuccessful bidders shall be promptly returned.

Section 2(A). Ratification of the Official Notice of Sale and Offering Materials. The Governing Body of the Municipality hereby ratifies and approves the details of the Bonds set forth in Exhibit A attached hereto as and for the details of the Bonds. The Official Notice of Sale and other offering materials prepared and circulated by PFM are hereby ratified and approved in all respects. All actions taken by officers of the Municipality and PFM in connection with the preparation and distribution of the Official Notice of Sale are hereby ratified and approved in all respects.

Section 3. Terms of the Bonds. The Bonds shall be designated "Water System Revenue Bonds, Series 2014" (the "Bonds"); shall be dated October 14, 2014; shall be in the denomination of \$5,000 or any integral multiple thereof; shall be numbered 1 and upward; shall bear interest at the rates and shall mature on December 1 of each year, in the years and principal amounts as set forth on the Pricing Summary attached hereto as Exhibit E-1 and incorporated herein by this reference. Interest on the Bonds shall be payable semi-annually on June 1 and December 1 of each year commencing on June 1, 2015. The schedule of principal and interest payments due on the Bonds is set forth on the Debt Service Schedule attached hereto as Exhibit E-2 and incorporated herein by this reference (the "Schedule").

It is hereby determined and declared that the above schedule of maturities of the Bonds as set forth on the Schedule is such that the requirements each year to pay both the principal of and interest on the Bonds is reasonable in accordance with prudent municipal utility management practices.

Section 3(A). Redemption Provisions. At the option of the Municipality, the Bonds maturing on December 1, 2025 and thereafter shall be subject to redemption prior to maturity on

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\* Estimated, subject to change.

December 1, 2024 or on any date thereafter. The Bonds shall be redeemable, as a whole or in part, and if in part, from maturities selected by the Municipality and within any maturity by lot, at the principal amount thereof, plus accrued interest to the date of redemption.

Section 4. Parity with the Prior Issues. The Bonds shall be additional bonds within the meaning of Section 9 of the 2002 Resolution; are issued on a parity with the Prior Issues; and are secured by an equal lien and claim to the revenues and properties of the System and the monies on deposit in the Special Redemption Fund as set forth in the 2002 Resolution, as supplemented by the Prior Resolutions and this Resolution.

Section 5. Form of Bonds. The Bonds shall be issued in registered form and shall be executed and delivered in substantially the form attached hereto as Exhibit F and incorporated herein by this reference.

Section 5(A). Payment of the Bonds; Fiscal Agent. The principal of and interest on the Bonds shall be paid by The Bank of New York Mellon Trust Company, N.A., which is hereby appointed as the Municipality's registrar and fiscal agent pursuant to the provisions of Section 67.10(2), Wisconsin Statutes (the "Fiscal Agent"). The Fiscal Agency Agreement between the Municipality and the Fiscal Agent shall be substantially in the form attached hereto as Exhibit G and incorporated herein by this reference.

Section 5(B). Utilization of The Depository Trust Company Book-Entry-Only System. In order to make the Bonds eligible for the services provided by The Depository Trust Company, New York, New York ("DTC"), the Municipality agrees to the applicable provisions set forth in the Blanket Issuer Letter of Representations previously executed on behalf of the Municipality and on file in the City Clerk's office.

Section 6. Security for the Bonds. The Bonds, together with interest thereon, shall not constitute an indebtedness of the Municipality nor a charge against its general credit or taxing power. The Bonds, together with interest thereon, shall be payable only out of the Special Redemption Fund created and established pursuant to the 2002 Resolution, as amended by the Prior Resolutions and this Resolution, and shall be a valid claim of any holder thereof only against said Special Redemption Fund and the revenues of the System pledged to such fund, on a parity with the Prior Issues. Sufficient revenues are hereby pledged to said Special Redemption Fund, and shall be used for no other purpose than to pay the principal of, premium, if any, and interest on the Prior Issues, the Bonds and any Parity Bonds as the same becomes due. It is the express intent and determination of the Governing Body that the amounts transferred from the Revenue Fund and deposited in the Special Redemption Fund shall be sufficient in any event to pay the interest on the Prior Issues, the Bonds and any Parity Bonds as the same accrues and the principal amounts thereof as the same matures, and to provide any amounts required to be paid monthly into the Reserve Account to maintain the Reserve Requirement.

Section 7. Funds and Accounts. In accordance with the Act, for the purpose of the application and proper allocation of the revenues of the System, and to secure the payment of the principal of and interest on the Prior Issues, the Bonds and any Parity Bonds, certain funds of the System, which were created and established pursuant to Section 6 of the 2002 Resolution, and continued by the Prior Resolutions, are hereby further continued and shall be used solely for the

purposes set forth in the 2002 Resolution, as amended by the Prior Resolutions and this Resolution, as follows:

Subparagraph (c) of Section 6 of the 2002 Resolution is hereby amended and supplemented by this Resolution to read as follows:

"(c) Water System Special Redemption Fund, which shall be divided into two separate accounts to be known as the "Interest and Principal Account" and the "Reserve Account".

(i) There shall be deposited in the Interest and Principal Account from proceeds of the Prior Issues, Bond Proceeds and any Parity Bond proceeds all accrued interest. In addition, there shall be deposited in said account in the manner specified in Section 7 of the 2002 Resolution, an amount sufficient (after giving effect to available amounts in said account from accrued interest, any premium, investment earnings and any other source) to pay the principal of and interest on the Prior Issues, the Bonds and any Parity Bonds as the same becomes due. The Interest and Principal Account shall be used solely for the purposes of paying principal of and interest on the Prior Issues, the Bonds and any Parity Bonds in accordance with the provisions of the 2002 Resolution, as amended by the Prior Resolutions and this Resolution.

The minimum amounts to be so deposited in the Interest and Principal Account for debt service on the Bonds are set forth on Exhibit E-2 and incorporated herein by this reference.

(ii) There heretofore has been deposited into the Reserve Account an amount equal to the Reserve Requirement prior to the issuance of the Bonds. Upon the issuance of the Bonds, there shall be deposited into the Reserve Account an amount necessary to make the amount on deposit in the Reserve Account equal to the Reserve Requirement or a surety bond equal to that amount. The Reserve Account shall be used solely for the purpose of paying principal of or interest on the Prior Issues, the Bonds or any Parity Bonds at any time when there shall be insufficient money in the Interest and Principal Account. The Reserve Account shall be funded and replenished in the manner specified in Section 7(c) of the 2002 Resolution."

The Reserve Account shall at all times constitute a "reasonably required reserve fund" under Section 148 of the Code and any applicable Regulations.

Section 8. Application of Revenues. After the delivery of the 2002 Bonds, the entire gross earnings of the System have been and will continue to be deposited as collected in the Revenue Fund and shall be transferred monthly to the funds listed in the 2002 Resolution, as amended and supplemented by the Prior Resolutions and this Resolution, in the amounts, the order of priority and in the manner set forth in the 2002 Resolution, as amended and supplemented by the Prior Resolutions and this Resolution.

Section 9. Service to the Municipality. As stated in the 2002 Resolution, the reasonable cost and value of services rendered to the Municipality by the System by furnishing water services for public purposes, shall be charged against the Municipality and shall be paid by it in quarterly installments as the service accrues. The 2002 Resolution is hereby amended further to provide that the reasonable cost and value of such service to the Municipality in any year shall be in an amount which, together with the other revenues of the System, will produce in each year earnings equivalent to not less than one and one-quarter (1.25) times annual principal and interest requirements on the Prior Issues, the Bonds and any Parity Bonds then outstanding after deduction of all operation and maintenance expenses of the System but before deduction of depreciation charges or local tax equivalents. All other provisions of Section 8 of the 2002 Resolution still apply and are incorporated into this Section 9.

Section 10. Sale of the Bonds. The Bonds shall be sold to the Purchaser for the sum set forth on the Proposal, plus accrued interest to the date of delivery.

Section 11. Application of Bond Proceeds. The proceeds of the Bonds ("Bond Proceeds") (including any accrued interest from their date to the date of delivery) shall be deposited and applied as follows:

- (a) to the Interest and Principal Account of the Special Redemption Fund, the amount of any accrued interest, if any, received from the sale of the Bonds;
- (b) to the Reserve Account of the Special Redemption Fund, the amount necessary to make the amount on deposit in the Reserve Account equal to the Reserve Requirement; and,
- (c) to the Water System Improvement Fund, a special borrowed money fund hereby created and established, the balance of the Bond Proceeds sufficient to provide for the cost of the Project. Said Improvement Fund shall be adequately secured and shall be used solely for the purpose of meeting costs of purchasing, acquiring, constructing, extending, adding to, improving, operating and managing the System, as more fully described in the preamble hereof as the Project including paying costs of issuance and capitalized interest. Any balance remaining in said Improvement Fund after paying the costs of the Project shall be transferred to the Special Redemption Fund for use in payment of principal of or interest on the Bonds and any Parity Bonds.

Section 12. Arbitrage Covenant. The Municipality shall not take any action with respect to the Bond Proceeds which, if said action had been reasonably expected to have been taken, or had been deliberately and intentionally taken on the date of delivery of said payment for the Bonds (the "Closing") would have caused the Bonds to be "arbitrage bonds" within the meaning of Section 148 of the Code and the Regulations.

The Bond Proceeds may be temporarily invested in legal investments until needed, provided however, that the Municipality hereby covenants and agrees that so long as the Bonds remain outstanding, moneys on deposit in any fund or account created or maintained in connection with the Bonds, whether such moneys were derived from Bond Proceeds or from any other source, will not be used or invested in a manner which would cause the Bonds to be "arbitrage bonds" within the meaning of the Code or Regulations. The Municipality covenants that it will not invest in any obligation if such investment would violate the "prohibited payment" requirement of Section 148 of the Code.

The Clerk, or other officer of the Municipality charged with responsibility for issuing the Bonds, shall provide an appropriate certificate of the Municipality, for inclusion in the transcript of proceedings, setting forth the reasonable expectations of the Municipality regarding the amount and use of the Bond Proceeds and the facts and estimates on which such expectations are based, all as of the Closing.

Section 13. Additional Tax Covenants. The Municipality hereby further covenants and agrees that it will take all necessary steps and perform all obligations required by the Code and Regulations (whether prior to or subsequent to the issuance of the Bonds) to assure that the Bonds are obligations described in Section 103(a) of the Code, the interest on which is excludable from gross income for federal income tax purposes, throughout their term. The Municipality further covenants that it will not take any action, omit to take any action or permit the taking or omission of any action within its control (including, without limitation, making or permitting any use of the proceeds of the Bonds) if taking, permitting or omitting to take such action would cause any of the Bonds to be an arbitrage bond or a private activity bond within the meaning of the Code or would otherwise cause interest on the Bonds to be included in the gross income of the recipients thereof for federal income tax purposes. The City Clerk or other officer of the Municipality charged with the responsibility of issuing the Bonds, shall provide an appropriate certificate of the Municipality as of the Closing, for inclusion in the transcript of proceedings, certifying that it can and covenanting that it will comply with the provisions of the Code and Regulations.

Further, it is the intent of the Municipality to take all reasonable and lawful actions to comply with any new tax laws enacted so that the Bonds will continue to be obligations described in Section 103(a) of the Code, the interest on which is excludable from gross income for federal income tax purposes throughout their term.

The Municipality covenants that it is a governmental unit with general taxing powers and that the Bonds are not "private activity bonds" as defined in Section 141 of the Code.

Section 14. Designation as Qualified Tax-Exempt Obligations. The Bonds are hereby designated as "qualified tax-exempt obligations" for purposes of Section 265 of the Code, relating to the ability of financial institutions to deduct from income for federal income tax purposes, interest expense that is allocable to carrying and acquiring tax-exempt obligations.

Section 15. Execution of the Bonds. The Bonds shall be prepared in typewritten or printed form, executed on behalf of the Municipality by the manual or facsimile signatures of the Mayor and City Clerk, authenticated by its Fiscal Agent, appointed herein, sealed with its official

or corporate seal, if any, or a facsimile thereof and delivered to the Purchaser upon payment to the Municipality of the purchase price thereof, plus accrued interest to the date of delivery. In the event that either of the officers whose signatures appear on the Bonds shall cease to be such officers before the delivery of the Bonds, such signatures shall, nevertheless, be valid and sufficient for all purposes to the same extent as if they had remained in office until such delivery. The aforesaid officers are hereby authorized to do all acts and execute all documents as may be necessary and convenient for effectuating the Closing.

Section 16. Official Statement. The Governing Body hereby approves the Preliminary Official Statement with respect to the Bonds and deems the Preliminary Official Statement as "final" as of its date for purposes of SEC Rule 15c2-12 promulgated by the Securities and Exchange Commission pursuant to the Securities and Exchange Act of 1934 (the "Rule"). All actions taken by officers of the Municipality in connection with the preparation of such Preliminary Official Statement and any addenda to it or Final Official Statement are hereby ratified and approved. In connection with the Closing, the appropriate Municipality official shall certify the Preliminary Official Statement and any addenda or Final Official Statement. The City Clerk shall cause copies of the Preliminary Official Statement and any addenda or Final Official Statement to be distributed to the Purchaser.

Section 17. Bond Insurance. The Mayor and City Clerk are authorized to agree to such additional provisions as any municipal bond insurer which makes a commitment accepted by the Municipality to insure the Bonds may reasonably request and which are acceptable to the Mayor and City Clerk, including provisions regarding restrictions on investment of Bond Proceeds, the payment procedure under the municipal bond insurance policy, the rights of the bond insurer in the event of default and payment of the Bonds by the bond insurer and notices to be given to the bond insurer. In addition, appropriate reference to the municipal bond insurance policy shall be made in the Form of Bond provided herein.

Section 18. Resolution a Contract. The provisions of this Resolution shall constitute a contract between the Municipality and the holder or holders of the Bonds and any Parity Bonds, and after issuance of any Bond or any Parity Bond no change or alteration of any kind in the provisions of this Resolution may be made except as provided in Section 14 of the 2002 Resolution, until all of the Prior Issues, the Bonds and any Parity Bonds have been paid in full as to both principal and interest. The holder or holders of any Bond or any Parity Bonds shall have the right in addition to all other rights, by mandamus or other suit or action in any court of competent jurisdiction, to enforce his or their rights against the Municipality, the Governing Body thereof, and any and all officers and agents thereof, including, but without limitation, the right to require the Municipality, its Governing Body and other authorized body, to fix and collect rates and charges fully adequate to carry out all of the provisions and agreements contained in the 2002 Resolution, as supplemented by the Prior Resolutions and this Resolution.

Section 19. Conflicting Resolutions, Ordinances, Severability, Closing and Effective Date. All prior ordinances, resolutions (other than the 2002 Resolution and Prior Resolutions), rules or other actions of the Governing Body or any parts thereof in conflict with the provisions hereof shall be and the same are hereby rescinded insofar as they may so conflict. In the event that any one or more provisions hereof shall for any reason be held to be illegal or invalid, such illegality or invalidity shall not affect any other provisions hereof. In case of any conflict between the terms of the 2002 Resolution or Prior Resolutions and this Resolution, the 2002 Resolution and Prior Resolutions shall prevail as long as any of the Prior Issues are outstanding. The appropriate officers and agents of the Municipality are hereby directed and authorized to do all acts and execute and deliver all documents as may be necessary and convenient to effectuate the closing of this transaction. The foregoing shall take effect immediately upon adoption and approval in the manner provided by law.

Adopted and recorded this 23rd day of September, 2014.

\_\_\_\_\_  
Chris L. Meyer  
Mayor

Attest:

\_\_\_\_\_  
Deb M. Hall  
City Clerk

(SEAL)

EXHIBIT A

OFFICIAL NOTICE OF SALE

To be provided by Public Financial Management, Inc. and incorporated into the Resolution.

DRAFT

EXHIBIT B

ADDITIONAL BONDS CERTIFICATE

(See Attached)

DRAFT

## ADDITIONAL BONDS CERTIFICATE

The undersigned, Keith R. Strey, Finance Director of the City of Marshfield, Wisconsin (the "City") hereby certifies the following:

1. I have reviewed and am familiar with the provisions of Resolution No. 2002-33 (the "2002 Resolution") adopted by the Common Council on May 28, 2002, as amended and supplemented by Resolution No. 2006-45 adopted by the Common Council on September 26, 2006 authorizing the issuance of Water System Revenue Bonds, Series 2006, dated October 1, 2006 (the "2006 Bonds"), Resolution No. 2009-20 adopted by the Common Council on May 12, 2009 authorizing the issuance of Water System Revenue Bonds, Series 2009, dated June 1, 2009 (the "2009 Bonds") and the resolution to be adopted by the Common Council on September 23, 2014 entitled: "Resolution Supplementing Resolution No. 2002-33; Awarding the Sale of \$3,900,000 Water System Revenue Bonds, Series 2014; and Providing for the Payment of Said Bonds and Other Details and Covenants with Respect Thereto" (the "2014 Resolution") authorizing the issuance of \$3,900,000 Water System Revenue Bonds, Series 2014, dated October 14, 2014 (the "2014 Bonds").

2. To the best of my knowledge, information and belief, the City meets the conditions set forth in Section 9 of the 2002 Resolution necessary to issue the 2014 Bonds on a parity and equality of rank with the 2006 Bonds and the 2009 Bonds (collectively, the "Prior Issues"), to wit:

(i) Net Revenues. Net Revenues (as defined in the 2002 Resolution) of the Water System for 2013, which is the last completed fiscal year preceding the issuance of the 2014 Bonds were \$2,070,718, which is at least equal to one and one-quarter (1.25) times the highest combined annual interest and principal requirements on the Prior Issues and the 2014 Bonds for any succeeding fiscal year in which there will be a principal maturity on such bonds (\$ \_\_\_\_\_). The Prior Issues are the only obligations currently outstanding payable from the revenues of the Water System.

(ii) No Default. The payments required to be made into the various funds and accounts provided in the 2002 Resolution, as amended, are current, and the City is not in default under any of the terms of the 2002 Resolution, as amended.

(iii) Payment Dates. The 2014 Bonds will be payable as to principal on December 1 of each year in which principal falls due and payable as to interest semi-annually on June 1 and December 1 of each year.

(iv) Reserve Account. The Reserve Account will be funded to an amount equal to the Reserve Requirement applicable upon the issuance of Parity Bonds, as set forth in Section 1 of the 2002 Resolution.

IN WITNESS WHEREOF, I have executed this Certificate in my official capacity effective this 14th day of October, 2014.

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Keith R. Strey  
Finance Director

DRAFT

EXHIBIT C

BID TABULATION

To be provided by Public Financial Management, Inc. and incorporated into the Resolution.

DRAFT

EXHIBIT D

WINNING BID

To be provided by Public Financial Management, Inc. and incorporated into the Resolution

DRAFT

EXHIBIT E-1

PRICING SUMMARY

To be provided by Public Financial Management, Inc. and incorporated into the Resolution.

(See Attached)

DRAFT

EXHIBIT E-2

DEBT SERVICE SCHEDULE

To be provided by Public Financial Management, Inc. and incorporated into the Resolution.

(See Attached)

DRAFT

EXHIBIT F

(Form of Bond)

REGISTERED NO. R-\_\_\_\_ UNITED STATES OF AMERICA STATE OF WISCONSIN WOOD AND MARATHON COUNTIES CITY OF MARSHFIELD WATER SYSTEM REVENUE BOND, SERIES 2014 DOLLARS \$\_\_\_\_\_

MATURITY DATE: ORIGINAL DATE OF ISSUE: INTEREST RATE: CUSIP: December 1, \_\_\_\_ October 14, 2014 \_\_\_\_% \_\_\_\_\_

DEPOSITORY OR ITS NOMINEE NAME: CEDE & CO.

PRINCIPAL AMOUNT: \_\_\_\_\_ DOLLARS (\$\_\_\_\_\_)

FOR VALUE RECEIVED, the City of Marshfield, Wood and Marathon Counties, Wisconsin (the "City"), hereby acknowledges itself to owe and promises to pay to the Depository or its Nominee Name (the "Depository") identified above (or to registered assigns), on the maturity date identified above, the principal amount identified above, and to pay interest thereon at the rate of interest per annum identified above, all subject to the provisions set forth herein regarding redemption prior to maturity. Interest is payable semi-annually on June 1 and December 1 of each year commencing on June 1, 2015 until the aforesaid principal amount is paid in full. Both the principal of and interest on this Bond are payable in lawful money of the United States. Interest payable on any interest payment date shall be paid by wire transfer to the Depository in whose name this Bond is registered on the Bond Register maintained by The Bank of New York Mellon Trust Company, N.A. (the "Fiscal Agent") or any successor thereto at the close of business on the 15th day of the calendar month next preceding the semi-annual interest payment date (the "Record Date").

This Bond is one of an issue of Bonds aggregating the principal amount of \$3,900,000, all of which are of like tenor, except as to denomination, interest rate, maturity date and redemption provision, issued by the City pursuant to the provisions of Section 66.0621, Wisconsin Statutes, for the purpose of paying the cost of additions, improvements and extensions to the Water System, including water main repair and replacement, purchase and installation of advanced metering infrastructure, well improvements, and construction of a water tower and related fees and expenses, all as authorized by resolutions of the Common Council duly adopted by said governing body at meetings held on August 26, 2014 and September 23, 2014 (the "Resolutions"). Said Resolutions are recorded in the official minutes of the Common Council for said dates.

At the option of the City, the Bonds maturing on December 1, 2025 and thereafter are subject to redemption prior to maturity on December 1, 2024 or on any date thereafter. Said Bonds are redeemable as a whole or in part, and if in part, from maturities selected by the City and within each maturity by lot (as selected by the Depository), at the principal amount thereof, plus accrued interest to the date of redemption.

As long as the Bonds are in book-entry-only form, official notice of any redemption of the Bonds will be given by mailing a notice by registered or certified mail, overnight express delivery, facsimile transmission or electronic transmission, to the Depository not less than thirty (30) days nor more than sixty (60) days prior to the redemption date. If less than all of the Bonds of a maturity are to be called for redemption, the Bonds of such maturity to be redeemed will be selected by lot. Such notice will include but not be limited to the following: the designation, date and maturities of the Bonds called for redemption, CUSIP numbers, and the date of redemption. Any notice mailed as provided herein shall be conclusively presumed to have been duly given, whether or not the registered owner receives the notice. The Bonds shall cease to bear interest on the specified redemption date provided that federal or other immediately available funds sufficient for such redemption are on deposit at the office of the Depository at that time. Upon such deposit of funds for redemption the Bonds shall no longer be deemed to be outstanding.

**THIS BOND DOES NOT CONSTITUTE AN INDEBTEDNESS OF THE CITY WITHIN THE MEANING OF ANY STATE OF WISCONSIN CONSTITUTIONAL PROVISION OR STATUTORY LIMITATION. THIS BOND SHALL NOT BE A CHARGE AGAINST THE GENERAL CREDIT OR TAXING POWERS OF THE CITY.**

This Bond is issued pursuant to Section 66.0621 of the Wisconsin Statutes, the Resolutions, and Resolution No. 2002-33 adopted by the Common Council on May 28, 2002 (the "2002 Resolution"). The City has heretofore issued and has outstanding its Water System Revenue Bonds, Series 2006, dated October 1, 2006 and Water System Revenue Bonds, Series 2009, dated June 1, 2009 (collectively, the "Prior Issues"). The Bonds are issued on a parity with the Prior Issues and are secured by an equal lien and claim to the revenues and properties of the Water System and the monies on deposit in the Special Redemption Fund (referenced below).

This Bond, together with interest thereon, shall be payable only out of the Special Redemption Fund created by the 2002 Resolution, as amended, and shall be a valid claim of the holder thereof only against said Special Redemption Fund and the revenues pledged to such Fund, on a parity with the Prior Issues, and does not constitute an indebtedness of the City within the meaning of any State constitutional provision or statutory limitation. The City hereby certifies that sufficient revenues have been pledged to said Special Redemption Fund, and shall be used for no other purpose than to pay the principal and interest of this Bond on a parity with the Prior Issues as the same falls due.

It is hereby certified and recited that all conditions, things and acts required by law to exist or to be done prior to and in connection with the issuance of this Bond have been done,

have existed and have been performed in due form and time; and that the issuance of this Bond has been duly authorized by the City and does not exceed or violate any constitutional or statutory limitation.

It is hereby further certified that the Common Council has designated this Bond to be a "qualified tax-exempt obligation" pursuant to the provisions of Section 265(b)(3) of the Internal Revenue Code of 1986, as amended.

This Bond is transferable only upon the books of the City kept for that purpose at the office of the Fiscal Agent of any successor thereto, only in the event that the Depository does not continue to act as depository for the Bonds, and the City appoints another depository, upon surrender of the Bond to the Fiscal Agent of any successor thereto, and thereupon a new fully registered Bond in the same aggregate principal amount shall be issued to the new depository in exchange therefor and upon the payment of a charge sufficient to reimburse the City for any tax, fee or other governmental charge required to be paid with respect to such registration. The Fiscal Agent shall not be obliged to make any transfer of the Bonds (i) after the Record Date, (ii) during the fifteen (15) calendar days preceding the date of any publication of notice of any proposed redemption of the Bonds, or (iii) with respect to any particular Bond, after such Bond has been called for redemption. The City and Fiscal Agent may treat and consider the Depository in whose name this Bond is registered as the absolute owner hereof for the purpose of receiving payment of, or on account of, the principal or redemption price hereof and interest due hereon and for all other purposes whatsoever.

This Bond shall not be valid or obligatory for any purpose until the Certificate of Authentication hereon shall have been signed by the Fiscal Agent.

IN WITNESS WHEREOF, the City of Marshfield, Wood and Marathon Counties, Wisconsin, by its governing body, has caused this Bond to be executed for it and in its name by the manual or facsimile signatures of its duly qualified Mayor and City Clerk and to be sealed with its official or corporate seal, if any, all as of the 14th day of October, 2014.

CITY OF MARSHFIELD,  
WOOD AND MARATHON COUNTIES,  
WISCONSIN

By: \_\_\_\_\_  
Chris L. Meyer  
Mayor

(SEAL)

By: \_\_\_\_\_  
Deb M. Hall  
City Clerk

DRAFT

Date of Authentication: October 14, 2014.

CERTIFICATE OF AUTHENTICATION

This Bond is one of the Bonds of the issue authorized by the within-mentioned Resolutions of the City of Marshfield, Wisconsin.

THE BANK OF NEW YORK MELLON  
TRUST COMPANY, N.A.

By \_\_\_\_\_  
Authorized Signatory

DRAFT

ASSIGNMENT

FOR VALUE RECEIVED, the undersigned sells, assigns and transfers unto

\_\_\_\_\_  
(Name and Address of Assignee)

\_\_\_\_\_  
(Social Security or other Identifying Number of Assignee)

the within Bond and all rights thereunder and hereby irrevocably constitutes and appoints \_\_\_\_\_, Legal Representative, to transfer said Bond on the books kept for registration thereof, with full power of substitution in the premises.

Dated: \_\_\_\_\_

Signature Guaranteed:

\_\_\_\_\_  
(e.g. Bank, Trust Company  
or Securities Firm)

\_\_\_\_\_  
(Depository or Nominee Name)

NOTICE: This signature must correspond with the name of the Depository or Nominee Name as it appears upon the face of the within Bond in every particular, without alteration or enlargement or any change whatever.

\_\_\_\_\_  
(Authorized Officer)

EXHIBIT G  
FISCAL AGENCY AGREEMENT

(See Attached)

DRAFT

## FISCAL AGENCY AGREEMENT

THIS AGREEMENT, made as of the 14th day of October, 2014 between the City of Marshfield, Wisconsin (the "Municipality"), and The Bank of New York Mellon Trust Company, N.A., Chicago, Illinois (the "Fiscal Agent").

WITNESSETH:

WHEREAS, the Municipality has duly authorized the issuance of its \$3,900,000 Water System Revenue Bonds, Series 2014, dated October 14, 2014 (the "Obligations") pursuant to the applicable provisions of the Wisconsin Statutes and the resolutions adopted by the Municipality on August 26, 2014 and September 23, 2014 (collectively, the "Resolution"); and

WHEREAS, the Municipality is issuing the Obligations in registered form pursuant to Section 149 of the Internal Revenue Code of 1986, as amended, and applicable Treasury Regulations promulgated thereunder; and

WHEREAS, pursuant to the Resolution and Section 67.10(2), Wisconsin Statutes the Municipality has authorized the appointment of the Fiscal Agent as agent for the Municipality for any or all of the following responsibilities: payment of principal and interest on, registering, transferring and authenticating the Obligations as well as other applicable responsibilities permitted by Section 67.10(2), Wisconsin Statutes.

NOW, THEREFORE, the Municipality and the Fiscal Agent hereby agree as follows:

### I. APPOINTMENT

The Fiscal Agent is hereby appointed agent for the Municipality with respect to the Obligations for the purpose of performing such of the responsibilities stated in Section 67.10(2), Wisconsin Statutes, as are delegated herein or as may be otherwise specifically delegated in writing to the Fiscal Agent by the Municipality.

### II. INVESTMENT RESPONSIBILITY

The Fiscal Agent shall not be under any obligation to invest funds held for the payment of interest or principal on the Obligations.

### III. PAYMENTS

At least one business day before each interest payment date (commencing with the interest payment date of June 1, 2015 and continuing thereafter until the principal of and interest on the Obligations should have been fully paid or prepaid in accordance with their terms) the Municipality shall pay to the Fiscal Agent, in good funds immediately available to the Fiscal Agent on the interest payment date, a sum equal to the amount payable as principal of, premium, if any, and interest on the Obligations on such interest payment date. Said interest and/or principal payment dates and amounts are outlined on Schedule A which is attached hereto and incorporated herein by this reference.

#### IV. CANCELLATION

In every case of the surrender of any Obligation for the purpose of payment, the Fiscal Agent shall cancel and destroy the same and deliver to the Municipality a certificate regarding such cancellation. The Fiscal Agent shall be permitted to microfilm or otherwise photocopy and record said Obligations.

#### V. REGISTRATION BOOK

The Fiscal Agent shall maintain in the name of the Municipality a Registration Book containing the names and addresses of all owners of the Obligations and the following information as to each Obligation: its number, date, purpose, amount, rate of interest and when payable. The Fiscal Agent shall keep confidential said information in accordance with applicable banking and governmental regulations.

#### VI. INTEREST PAYMENT

Payment of each installment of interest on each Obligation shall be made to the registered owner of such Obligation whose name shall appear on the Registration Book at the close of business on the 15<sup>th</sup> day of the calendar month next preceding the interest payment date and shall be paid by check or draft of the Fiscal Agent mailed to such registered owner at his address as it appears in such Registration Book or at such other address as may be furnished in writing by such registered owner to the Fiscal Agent.

#### VII. PAYMENT OF PRINCIPAL AND NOTICE OF REDEMPTION

(a) Principal Payments. Principal shall be paid to the registered owner of an Obligation upon surrender of the Obligation on or after its maturity or redemption date.

(b) Official Notice of Redemption. In the event the Municipality exercises its option to redeem any of the Obligations, the Municipality shall, at least 35 days prior to the redemption date, direct the Fiscal Agent to give official notice of such redemption by sending an official notice thereof by registered or certified mail, facsimile transmission, overnight express delivery, electronic transmission or in any other manner required by The Depository Trust Company at least 30 days but not more than 60 days prior to the date fixed for redemption to the registered owner of each Obligation to be redeemed in whole or in part at the address shown in the Registration Book. Such official notice of redemption shall be dated and shall state (i) the redemption date and price; (ii) an identification of the Obligations to be redeemed, including the date of original issue of the Obligations; (iii) that on the redemption date the redemption price will become due and payable upon each such Obligation or portion thereof called for redemption, and that interest thereon shall cease to accrue from and after said date; and (iv) the place where such Obligations are to be surrendered for payment of the redemption price, which place of payment shall be the principal office of the Fiscal Agent.

(c) Additional Notice of Redemption. In addition to the official notice of redemption provided in (b) above, further notice of any redemption shall be given by the Fiscal Agent on behalf of the Municipality to the Municipal Securities Rulemaking Board and The Depository Trust Company of New York, New York but neither a defect in this additional notice nor any

failure to give all or any portion of such additional notice shall in any manner defeat the effectiveness of a call for redemption.

Each further notice of redemption given hereunder shall be sent at least 30 days before the redemption date by registered or certified mail, overnight delivery service, facsimile transmission or email transmission and shall contain the information required above for an official notice of redemption.

(d) Redemption of Obligations. The Obligations to be redeemed shall be selected by the Municipality and, within any maturity, shall be selected by lot by the Depository described in Section VIII hereof. The Obligations or portions of Obligations to be redeemed shall, on the redemption dates, become due and payable at the redemption price therein specified, and from and after such date such Obligations or portions of Obligations shall cease to bear interest. Upon surrender of such Obligations for redemption in accordance with the official notice of redemption, such Obligations shall be paid by the Fiscal Agent at the redemption price. Installments of interest due on or prior to the redemption date shall be payable as herein provided for payment of interest. Upon surrender for any partial redemption of any Obligation, there shall be prepared for the registered owner a new Obligation or Obligations of the same maturity in the amount of the unpaid principal. Each check or other transfer of funds issued in payment of the redemption price of Obligations being redeemed shall bear the CUSIP number identifying, by issue and maturity, the Obligations being redeemed with the proceeds of such check or other transfer.

#### VIII. UTILIZATION OF THE DEPOSITORY TRUST COMPANY

The Depository Trust Company's Book-Entry-Only System is to be utilized for the Obligations. The Fiscal Agent, as agent for the Municipality, agrees to comply with the provisions of The Depository Trust Company's Operational Arrangements, as they may be amended from time to time referenced in the Blanket Issuer Letter of Representations executed by the Municipality. The provisions of the Operational Arrangements and this Section VIII supersede and control any and all representations in this Agreement.

#### IX. OBLIGATION TRANSFER AND EXCHANGE

The Fiscal Agent shall transfer Obligations upon presentation of a written assignment duly executed by the registered owner or by such owner's duly authorized representative. Upon such a transfer, new registered Obligation(s) of the same maturity, in authorized denomination or denominations in the same aggregate principal amount for each maturity shall be issued to the transferee in exchange therefor, and the name of such transferee shall be entered as the new registered owner in the Registration Book. No Obligation may be registered to bearer. The Fiscal Agent may exchange Obligations of the issue for a like aggregate principal amount of Obligations of the same maturity in authorized whole multiples of \$5,000.

The Obligations shall be numbered R-1 and upward. Upon any transfer or exchange, the Obligation or Obligations issued shall bear the next highest consecutive unused number or numbers.

The Municipality shall cooperate in any such transfer, and the appropriate officers of the Municipality are authorized to execute any new Obligation or Obligations necessary to effect any such transfer.

#### X. AUTHENTICATION, IF REQUIRED

The Fiscal Agent shall sign and date the Certificate of Authentication, if any, on each Obligation on the date of delivery, transfer or exchange of such Obligation. The Fiscal Agent shall distribute and/or retain for safekeeping the Obligations in accordance with the direction of the registered owners thereof.

#### XI. STATEMENTS

The Fiscal Agent shall furnish the Municipality with an accounting of interest and funds upon reasonable request.

#### XII. FEES

The Municipality agrees to pay the Fiscal Agent fees for its services hereunder in the amounts set forth on Schedule [B/C] hereto.

#### XIII. MISCELLANEOUS

(a) Nonpresentation of Checks. In the event the check or draft mailed by the Fiscal Agent to the registered owner is not presented for payment within five years of its date, then the monies representing such nonpayment shall be returned to the Municipality or to such board, officer or body as may then be entitled by law to receive the same together with the name of the registered owner of the Obligation and the last mailing address of record and the Fiscal Agent shall no longer be responsible for the same.

(b) Resignation and Removal; Successor Fiscal Agent. (i) Fiscal Agent may at any time resign by giving not less than 60 days written notice to Municipality. Upon receiving such notice of resignation, Municipality shall promptly appoint a successor fiscal agent by an instrument in writing executed by order of its governing body. If no successor fiscal agent shall have been so appointed and have accepted appointment within 60 days after such notice of resignation, the resigning fiscal agent may petition any court of competent jurisdiction for the appointment of a successor fiscal agent. Such court may thereupon, after such notice, if any, as it may deem proper and prescribes, appoint a successor fiscal agent. The resignation of the fiscal agent shall take effect only upon appointment of a successor fiscal agent and such successor fiscal agent's acceptance of such appointment.

(ii) The Fiscal Agent may also be removed by the Municipality at any time upon not less than 60 days' written notice. Such removal shall take effect upon the appointment of a successor fiscal agent and such successor fiscal agent's acceptance of such appointment.

(iii) Any successor fiscal agent shall execute, acknowledge and deliver to Municipality and to its predecessor fiscal agent an instrument accepting such appointment hereunder, and thereupon the resignation or removal of the predecessor fiscal agent shall become

effective and such successor fiscal agent, without any further act, deed or conveyance, shall become vested with all the rights, powers, trusts, duties and obligations of its predecessor, with like effect as if originally named as fiscal agent herein; but nevertheless, on written request of Municipality, or on the request of the successor, the fiscal agent ceasing to act shall execute and deliver an instrument transferring to such successor fiscal agent, all the rights, powers, and trusts of the fiscal agent so ceasing to act. Upon the request of any such successor fiscal agent, Municipality shall execute any and all instruments in writing for more fully and certainly vesting in and confirming to such successor fiscal agent all such rights, powers and duties. Any predecessor fiscal agent shall pay over to its successor fiscal agent any funds of the Municipality.

(iv) Any corporation, association or agency into which the Fiscal Agent may be converted or merged, or with which it may be consolidated, or to which it may sell or transfer its corporate trust business and assets as a whole or substantially as a whole, or any corporation or association resulting from any such conversion, sale, merger, consolidation or transfer to which it is a party, ipso facto, shall be and become successor fiscal agent under this Agreement and vested with all the trusts, powers, discretions, immunities and privileges and all other matters as was its predecessor, without the execution or filing of any instrument or any further act, deed or conveyance on the part of any of the parties hereto, anything herein to the contrary notwithstanding.

(v) Any successor fiscal agent shall be qualified pursuant to Sec. 67.10(2), Wisconsin Statutes, as amended.

(c) Termination. This Agreement shall terminate on the earlier of (i) the payment in full of all of the principal and interest on the Obligations to the registered owners of the Obligations or (ii) five years after (aa) the last principal payment on the Obligations is due (whether by maturity or earlier redemption) or (bb) the Municipality's responsibilities for payment of the Obligations are fully discharged, whichever is later. The parties realize that any funds hereunder as shall remain upon termination shall, except as may otherwise by law, be turned over to the Municipality after deduction of any unpaid fees and disbursements of Fiscal Agent or, if required by law, to such officer, board or body as may then be entitled by law to receive the same. Termination of this Agreement shall not, of itself, have any effect on Municipality's obligation to pay the outstanding Obligations in full in accordance with the terms thereof.

(d) Indemnification. Notwithstanding any provision contained herein to the contrary, the Fiscal Agent, including its officers, directors, employees and agents, shall:

(i) not be liable for any action taken or omitted under this Agreement so long as it shall have acted in good faith and without gross negligence;

(ii) have no responsibility to inquire into or determine the genuineness, authenticity, or sufficiency of any securities, checks, or other documents or instruments submitted to it in connection with its duties hereunder;

(iii) be entitled to deem the signatories of any documents or instruments submitted to it hereunder as being those purported to be authorized to sign such documents or instruments on behalf of the parties hereto, and shall be entitled to rely upon the genuineness of

the signatures of such signatories without inquiry and without requiring substantiating evidence of any kind;

(iv) be, and hereby is, indemnified and saved harmless by the Municipality, to the extent permitted by law, from all losses, liabilities, costs and expenses, including attorney fees and expenses, which may be incurred by it as a result of its acceptance its appointment as Fiscal Agent or arising from the performance of its duties hereunder, unless such losses, liabilities, costs and expenses shall have been finally adjudicated to have resulted from the willful misconduct or gross negligence of the Fiscal Agent, and such indemnification shall survive its resignation or removal, or the termination of this Agreement;

(v) have only those duties as are specifically provided herein, and no implied duties shall be read into this Agreement against the Fiscal Agent. IN NO EVENT SHALL THE FISCAL AGENT BE LIABLE, DIRECTLY OR INDIRECTLY, FOR ANY (i) DAMAGES OR EXPENSES ARISING OUT OF THE SERVICES PROVIDED HEREUNDER, OTHER THAN DAMAGES WHICH RESULT FROM THE FISCAL AGENT'S FAILURE TO ACT IN ACCORDANCE WITH THE STANDARDS SET FORTH IN THIS AGREEMENT, OR (ii) SPECIAL OR CONSEQUENTIAL DAMAGES, EVEN IF THE FISCAL AGENT HAS BEEN ADVISED OF THE POSSIBILITY OF SUCH DAMAGES;

(vi) not have any liability or obligation with respect to any failure or delay in the performance of its obligations under this Agreement arising out of or caused, directly or indirectly, by circumstances beyond its reasonable control, including, without limitation, acts of God; earthquakes; fires; floods; wars; civil or military disturbances; sabotage; epidemics; riots; interruptions, loss or malfunctions of utilities, computer (hardware or software) or communications services; accidents; labor disputes; acts of civil or military authority or governmental actions; it being understood that the Fiscal Agent shall use reasonable efforts which are consistent with accepted practices in the banking industry to resume performance as soon as practicable under the circumstances;

(vii) have the right, but not the obligation, to consult with counsel of choice and shall not be liable for action taken or omitted to be taken by Fiscal Agent either in accordance with the advice of such counsel or in accordance with any opinion of counsel to the Fiscal Agent addressed and delivered to the Fiscal Agent;

(viii) have the right to perform any of its duties hereunder through agents, attorneys, custodians or nominees; and

(ix) not be required to risk or expend its own funds.

(e) Execution in Counterparts. This Agreement may be simultaneously executed in several counterparts, each of which shall be an original and all of which shall constitute but one and the same instrument.

IN WITNESS WHEREOF, the parties have executed this Agreement, being duly authorized so to do, each in the manner most appropriate to it, on the date first above written.

CITY OF MARSHFIELD, WOOD AND  
MARATHON COUNTIES, WISCONSIN

By \_\_\_\_\_  
Chris L. Meyer  
Mayor

(SEAL)

\_\_\_\_\_  
Deb M. Hall  
City Clerk

THE BANK OF NEW YORK MELLON  
TRUST COMPANY, N.A., CHICAGO,  
ILLINOIS  
Fiscal Agent

(SEAL)

By \_\_\_\_\_  
Title \_\_\_\_\_

Attest \_\_\_\_\_  
Title \_\_\_\_\_

SCHEDULE A

Debt Service Schedule  
\$3,900,000 Water System Revenue Bonds, Series 2014  
of the City of Marshfield, Wisconsin  
dated October 14, 2014

(SEE ATTACHED)

DRAFT

SCHEDULE B

(See Attached)

DRAFT

## **RESOLUTION NO. 2014-57**

### **RESOLUTION AUTHORIZING THE ISSUANCE AND ESTABLISHING PARAMETERS FOR THE SALE OF NOT TO EXCEED \$2,050,000 SEWERAGE SYSTEM REVENUE BOND ANTICIPATION NOTES, SERIES 2014**

WHEREAS, the City of Marshfield, Wood and Marathon Counties, Wisconsin (the "Municipality") owns and operates a sewerage system (the "System") which is operated for a public purpose as a public utility by the Municipality;

WHEREAS, under the provisions of Section 66.0621, Wisconsin Statutes (the "Act"), any municipality in the State of Wisconsin may, by action of its governing body, provide funds for extending, adding to, and improving, a public utility from the proceeds of bonds, which bonds are to be payable only from the income and revenues derived from any source by such utility (the "Revenues");

WHEREAS, pursuant to Resolution No. 98-21 adopted on March 10, 1998 (the "1998A Resolution"), the Municipality has heretofore issued its Sewerage System Revenue Bonds, Series 1998A, dated March 25, 1998 (the "1998A Bonds"), which are payable from the income and revenues of the System;

WHEREAS, pursuant to Resolution No. 98-53 adopted on August 25, 1998 (the "1998B Resolution"), the Municipality has heretofore issued its Sewerage System Revenue Bonds, Series 1998B, dated September 9, 1998 (the "1998B Bonds"), which are payable from the income and revenues of the System on a parity with the 1998A Bonds;

WHEREAS, pursuant to Resolution No. 2003-23 adopted on April 8, 2003 (the "2003 Resolution"), the Municipality has heretofore issued its Sewerage System Revenue Bonds, Series 2003, dated May 1, 2003 (the "2003 Bonds"), which 2003 Bonds are no longer outstanding;

WHEREAS, pursuant to the 2003 Resolution, as supplemented by Resolution No. 2006-65 adopted on December 12, 2006 (the "2006 Resolution"), the Municipality has heretofore issued its Sewerage System Revenue Bonds, Series 2006, dated December 1, 2006 (the "2006 Bonds"), which are payable from the income and revenues of the System on a parity with the 1998A Bonds and the 1998B Bonds;

WHEREAS, pursuant to the 2003 Resolution, as supplemented by Resolution No. 2009-29 adopted on June 9, 2009 (the "2009 Resolution"), the Municipality has heretofore issued its Sewerage System Revenue Bonds, Series 2009, dated July 1, 2009 (the "2009 Bonds"), which are payable from the income and revenues of the System on a parity with the 1998A Bonds, the 1998B Bonds and the 2006 Bonds (the 1998A Bonds, the 1998B Bonds, the 2006 Bonds and the 2009 Bonds shall be collectively referred to herein as the "Prior Issues") (the 1998A Resolution, 1998B Resolution, 2006 Resolution and 2009 Resolution shall be collectively referred to herein as the "Prior Resolutions");

WHEREAS, capitalized terms used herein which are not defined shall have the meanings provided in the Prior Resolutions;

WHEREAS, other than the Prior Issues, no bonds or obligations payable from the Revenues of the System are now outstanding;

WHEREAS, to adequately meet the needs of the Municipality and the residents thereof, certain additions, improvements and extensions to and acquisitions for the System, including lift station improvements and replacement and the acquisition of equipment (the "Project") are necessary;

WHEREAS, for the purpose of paying the cost of the Project, including paying interest costs and legal, financing and other professional fees, the Municipality intends by subsequent resolution (the "Bond Resolution") of the governing body of the Municipality (the "Common Council") to authorize the issuance and sale of sewerage system revenue bonds pursuant to the provisions of the Act (the "Bonds"), payable solely from Revenues of the System deposited in a special redemption fund referred to herein;

WHEREAS, the Bonds have not yet been issued or sold and will be issued and sold only after completion of the Project;

WHEREAS, municipalities are authorized by the provisions of Section 66.0621(4)(L), Wisconsin Statutes, to issue bond anticipation notes in anticipation of receiving the proceeds from the issuance and sale of revenue bonds;

WHEREAS, contracts are to be let for the Project and the financial officer of the Municipality has heretofore certified to the Common Council that proceeds of bond anticipation notes shall be required for the payment of said contracts, as well as other costs including paying interest and legal, financing and other professional fees;

WHEREAS, it is the finding of the Common Council that it is necessary, desirable and in the best interest of the Municipality to authorize the issuance and sale of sewerage system revenue bond anticipation notes pursuant to Section 66.0621(4)(L), Wisconsin Statutes (the "Notes") in anticipation of the issuance and sale of the Bonds, to pay the cost of the Project;

WHEREAS, the Notes are to be payable from Revenues of the System on a basis junior and subordinate to the Prior Issues;

WHEREAS, on August 26, 2014, the Common Council of the Municipality adopted a resolution providing for the sale (the "Sale Resolution") of \$2,050,000 Sewerage System Revenue Bond Anticipation Notes, Series 2014;

WHEREAS, pursuant to the Sale Resolution, the Municipality has directed Public Financial Management, Inc. ("PFM") to take the steps necessary to sell the Notes at public sale and to obtain bids for the purchase of the Notes; and

WHEREAS, in order to facilitate the sale of the Notes in a timely manner, the Common Council hereby finds and determines that it is necessary, desirable and in the best interest of the Municipality to delegate to the Mayor and City Clerk of the Municipality, the authority to accept, on behalf of the Municipality, the bid for the Notes that results in the lowest true interest cost for

the Notes (the "Proposal") so long as the Proposal meets the terms and conditions set forth in this Resolution (the "Parameters").

NOW, THEREFORE, BE IT RESOLVED by the Common Council of the Municipality that:

Section 1. Sewerage System Revenue Bonds. The Municipality hereby declares its intention and covenants to issue the Bonds pursuant to the provisions of the Act in an amount sufficient to retire any outstanding bond anticipation notes issued to pay the cost of the Project and the cost of interest and legal, financing and other professional fees in connection therewith.

Section 2. Authorization and Sale of the Notes; Parameters. In anticipation of the sale of the Bonds, for the purpose of paying the cost of the Project including paying interest and legal, financing and other professional fees in connection therewith, the Municipality is authorized to borrow pursuant to Section 66.0621(4)(L), Wisconsin Statutes, the principal sum of not to exceed TWO MILLION FIFTY THOUSAND DOLLARS (\$2,050,000) upon the terms and subject to the conditions set forth in this Resolution. Subject to satisfaction of the conditions set forth in Section 19 of this Resolution, the Mayor and City Clerk are hereby authorized, empowered and directed to make, execute, issue and sell to the financial institution that submits the Proposal (the "Purchaser") for, on behalf of and in the name of the Municipality, Notes aggregating the principal amount of not to exceed TWO MILLION FIFTY THOUSAND DOLLARS (\$2,050,000). The purchase price to be paid to the Municipality for the Notes shall not be less than 99.00% of the principal amount of the Notes.

Section 3. Terms of the Notes. The Notes shall be designated "Sewerage System Revenue Bond Anticipation Notes, Series 2014"; shall be issued in the aggregate principal amount of up to \$2,050,000; shall be dated their date of delivery; shall be in the denomination of \$5,000 or any integral multiple thereof; shall be numbered R-1 and upward; and shall mature on November 1, 2018. Interest shall be payable semi-annually on May 1 and November 1 of each year commencing on May 1, 2015. The true interest cost on the Notes (computed taking the Purchaser's compensation into account) shall not exceed 3.50%. Interest shall be computed upon the basis of a 360-day year of twelve 30-day months and will be rounded pursuant to the rules of the Municipal Securities Rulemaking Board.

Section 4. Redemption Provisions. The Notes shall be subject to redemption prior to maturity, at the option of the Municipality, on May 1, 2018 or any date thereafter. The Notes shall be redeemable as a whole or in part, by lot, at the principal amount thereof, plus accrued interest to the date of redemption.

Section 5. Form of the Notes. The Notes shall be issued in registered form and shall be executed and delivered in substantially the form attached hereto as Exhibit A and incorporated herein by this reference.

Section 6. Security. The Notes shall in no event be a general obligation of the Municipality nor a charge against its general credit or taxing power. No lien is created upon the System or any other property of the Municipality as a result of the issuance of the Notes. The Notes shall be payable only from (a) any proceeds of the Notes set aside for payment of interest

on the Notes as it becomes due; (b) the proceeds to be derived from the issuance and sale of the Bonds, which proceeds are hereby declared to constitute a special trust fund, hereby created and established, to be held by the City Clerk and expended solely for the payment of the principal of and interest on the Notes, until paid; and, (c) a pledge of the Revenues which have been deposited in the Special Redemption Fund referenced below, on a basis junior and subordinate to the Prior Issues.

As authorized and permitted by Section 66.0621(4)(L)6, Wisconsin Statutes, in the event such proceeds or Revenues are not sufficient to pay the principal of and interest on the Notes when due, the Municipality agrees that it will pay such deficiency out of its annual general tax levy or other available funds of the Municipality; provided, however, that any such payment shall be subject to annual budgetary appropriations therefor and any applicable levy limits; and provided further, that neither this Resolution nor any such payment shall be construed as constituting an obligation of the Municipality to make any such appropriation or any further payments.

Section 7. Funds and Accounts. In accordance with the Act, for the purpose of the application and proper allocation of the Revenues of the System, and to secure the payment of the principal of and interest on, first, the Prior Issues and any Parity Bonds, and, second, the Notes, certain funds of the System have been heretofore created and established by the 1998A Resolution, 1998B Resolution and 2003 Resolution and shall be continued and used solely for the purposes set forth in the Prior Resolutions. The Municipality shall apply the Revenues to the respective funds and accounts described in the Prior Resolutions.

Section 8. Service to the Municipality. The reasonable cost and value of services rendered to the Municipality by the System by furnishing services for public purposes, shall be charged against the Municipality and shall be paid by it in quarterly installments as the service accrues, out of the current revenues of the Municipality collected or in the process of collection, exclusive of the Revenues derived from the System, and out of the tax levy of the Municipality made by it to raise money to meet its necessary current expenses. However, such payment out of the tax levy shall be subject to (a) any necessary approval of the Public Service Commission, or successors to its function (b) annual appropriations therefor and (c) any applicable levy limitations; but neither this Resolution nor such payment shall be construed as constituting an obligation of the Municipality to make any such appropriation over and above the reasonable cost and value of services rendered to the Municipality and its inhabitants or make any subsequent payment over and above such reasonable cost and value. Such compensation for such service rendered to the Municipality shall, in the manner hereinabove provided, be paid into funds created in the 2003 Resolution.

Section 9. Covenants of the Municipality. The Municipality hereby covenants with the owners of the Notes that:

(a) It shall issue the Bonds as soon as practicable in an amount sufficient to retire the Notes;

(b) It shall segregate the proceeds derived from the sale of the Bonds into a special trust fund herein created and established and shall permit such special trust fund to be used for

no purpose other than the payment of the principal of and interest on the Notes until paid. After the payment of principal of and interest on the Notes in full, said special trust fund may be used for such other purposes as the Common Council may direct in accordance with law;

(c) It shall faithfully and punctually perform all duties with reference to the System required by the Constitution and Statutes of the State of Wisconsin, including lawfully establishing reasonable and sufficient rates for services rendered by the System and collecting, depositing, applying and segregating the Revenues of the System to the respective funds and accounts described in the Prior Resolutions;

(d) It will cause the Project to be constructed, extended, added to and improved as expeditiously as reasonably possible;

(e) It will not sell, lease, or in any manner dispose of the System, including any part thereof or any additions or extensions that may be made part thereto, except that the Municipality shall have the right to sell, lease or otherwise dispose of any property of the System found by the Common Council to be neither necessary nor useful in the operation of the System, provided the proceeds received from such sale, lease or disposal shall be paid into the Special Redemption Fund or applied to the acquisition or construction of capital facilities for use in the normal operation of the System, and such payment shall not reduce the amounts otherwise required to be paid into the Special Redemption Fund;

(f) It will pay or cause to be paid all lawful taxes, assessments, governmental charges, and claims for labor, materials or supplies which if unpaid could become a lien upon the System or the Revenues or could impair the security of the Notes or the Bonds;

(g) It will maintain the System in reasonably good condition, will operate the System, and will establish, charge and collect such lawfully established rates and charges for the service rendered by the System, so that the amount of the revenues of the System herein agreed to be set aside to provide for payment of the Bonds, any Parity Bonds and the Notes and the interest thereon as the same becomes due and payable will be sufficient for that purpose. It will make all good faith efforts so that the Revenues less Current Expenses (the "Net Revenues") from the System for each year any Bond or any Parity Bonds or the Notes are outstanding will be one and one-quarter (1.25) times the amount required for payment of principal (exclusive of principal of the Notes to be paid from proceeds of the Bonds) and interest on the Bonds, any Parity Bonds and the Notes for each corresponding year;

(h) It will prepare a budget not less than sixty (60) days prior to the end of each fiscal year and, in the event such budget (taking into account income, unencumbered surplus and expense) indicates that earnings for each year will not exceed debt service for each corresponding year by the proportion stated above, will take any and all steps permitted by law to increase rates so that the aforementioned proportion of earnings to debt service shall be accomplished as promptly as possible;

(i) The Notes are issued for the purposes for which the Municipality is authorized to issue revenue bonds and for which the Bonds shall be issued;

G) It will keep proper books and accounts relative to the System, separate from all other records of the Municipality and will cause such books and accounts to be audited annually not later than six months after the close of the fiscal year by a recognized independent firm of certified public accountants. Copies of such audits shall be promptly provided in accordance with the Undertaking described below. Each such audit, in addition to whatever matters may be thought proper by the accountants, shall include the following in accordance with generally accepted accounting practices: (1) a statement in detail of the income and expenditures of the System for the fiscal year; (2) a balance sheet as of the end of such fiscal year; (3) the accountants' comment regarding the manner in which the Municipality has carried out the requirements of this Resolution and the accountants' recommendations for any changes or improvements in the operation of the System; (4) the number and types of connections to the System at the end of the year; and (5) a list of the insurance policies in force at the end of the fiscal year setting out as to each policy the amount of the policy, the risks covered, the name of the insurer, and the expiration date of the policy. The owners of any of the Notes or the Bonds shall have at all reasonable times the right to inspect the System and the records, accounts and data of the Municipality relating thereto; and

(k) It will carry for the benefit of the owners of the Notes insurance of the kinds and in the amounts normally carried by private companies engaged in the operation of similar systems. All money received for losses under any of such insurance policies, except public liability, shall be used in repairing the damage or in replacing the property destroyed, but in the event that the Municipality shall find it inadvisable to repair such damage or replace such property, and that the operation of the System shall not have been impaired thereby, such money may be deposited in the funds provided by the Prior Resolutions, but shall not reduce the amount otherwise required to be paid into said funds provided by the Prior Resolutions.

Section 10. Application of Proceeds; Improvement Fund. All accrued interest received from the sale of the Notes shall be deposited in the Special Redemption Fund. The balance of the proceeds of the Notes shall be deposited in a special fund created hereby designated as "Sewerage System Improvement Fund." Said Improvement Fund shall be adequately secured and shall be used solely for the purpose of paying the cost of the Project and the cost of interest and legal, financing and other professional fees. Any balance remaining in said Improvement Fund after paying said costs shall be transferred to the Special Redemption Fund for use in payment of principal of and interest on the Notes.

Section 11. No Arbitrage. All investments made pursuant to this Resolution shall be Permitted Investments, but no such investment shall be made in such a manner as would cause the Notes to be "arbitrage bonds" within the meaning of Section 148 of the Internal Revenue Code of 1986, as amended (the "Code") or the Regulations and an officer of the Municipality, charged with the responsibility for issuing the Notes, shall certify as to facts, estimates, circumstances and reasonable expectations in existence on the date of delivery of the Notes to the Purchaser which will permit the conclusion that the Notes are not "arbitrage bonds," within the meaning of the Code or Regulations.

Section 12. Compliance with Federal Tax Laws. (a) The Municipality represents and covenants that the projects financed by the Notes and the ownership, management and use of the projects will not cause the Notes to be "private activity bonds" within the meaning of Section

141 of the Code. The Municipality further covenants that it shall comply with the provisions of the Code to the extent necessary to maintain the tax-exempt status of the interest on the Notes including, if applicable, the rebate requirements of Section 148(f) of the Code. The Municipality further covenants that it will not take any action, omit to take any action or permit the taking or omission of any action within its control (including, without limitation, making or permitting any use of the proceeds of the Notes) if taking, permitting or omitting to take such action would cause any of the Notes to be an arbitrage bond or a private activity bond within the meaning of the Code or would otherwise cause interest on the Notes to be included in the gross income of the recipients thereof for federal income tax purposes. The City Clerk or other officer of the Municipality charged with the responsibility of issuing the Notes shall provide an appropriate certificate of the Municipality certifying that the Municipality can and covenanting that it will comply with the provisions of the Code and Regulations.

(b) The Municipality also covenants to use its best efforts to meet the requirements and restrictions of any different or additional federal legislation which may be made applicable to the Notes provided that in meeting such requirements the Municipality will do so only to the extent consistent with the proceedings authorizing the Notes and the laws of the State of Wisconsin and to the extent that there is a reasonable period of time in which to comply.

Section 13. Designation as Qualified Tax-Exempt Obligations. The Notes are hereby designated as "qualified tax-exempt obligations" for purposes of Section 265 of the Code, relating to the ability of financial institutions to deduct from income for federal income tax purposes, interest expense that is allocable to carrying and acquiring tax-exempt obligations.

Section 14. Execution of the Notes; Closing; Professional Services. The Notes shall be issued in printed form, executed on behalf of the Municipality by the manual or facsimile signatures of the Mayor and City Clerk, authenticated, if required, by the Fiscal Agent (defined below), sealed with its official or corporate seal, if any, or a facsimile thereof and delivered to the Purchaser upon payment to the Municipality of the purchase price thereof, plus accrued interest to the date of delivery (the "Closing"). The facsimile signature of either of the officers executing the Notes may be imprinted on the Notes in lieu of the manual signature of the officer but, unless the Municipality has contracted with a fiscal agent to authenticate the Notes, at least one of the signatures appearing on each Note shall be a manual signature. In the event that either of the officers whose signatures appear on the Notes shall cease to be such officers before the Closing, such signatures shall, nevertheless, be valid and sufficient for all purposes to the same extent as if they had remained in office until the Closing. The aforesaid officers are hereby authorized and directed to do all acts and execute and deliver the Notes and all such documents, certificates and acknowledgements as may be necessary and convenient to effectuate the Closing. The Municipality hereby authorizes the officers and agents of the Municipality to enter into, on its behalf, agreements and contracts in conjunction with the Notes, including but not limited to agreements and contracts for legal, trust, fiscal agency, disclosure and continuing disclosure, and rebate calculation services. Any such contract heretofore entered into in conjunction with the issuance of the Notes is hereby ratified and approved in all respects.

Section 15. Payment of the Notes; Fiscal Agent. The principal of and interest on the Notes shall be paid by the City Finance Director (the "Fiscal Agent").

Section 16. Persons Treated as Owners; Transfer of Notes. The Municipality shall cause books for the registration and for the transfer of the Notes to be kept by the Fiscal Agent. The person in whose name any Note shall be registered shall be deemed and regarded as the absolute owner thereof for all purposes and payment of either principal or interest on any Note shall be made only to the registered owner thereof. All such payments shall be valid and effectual to satisfy and discharge the liability upon such Note to the extent of the sum or sums so paid.

Any Note may be transferred by the registered owner thereof by surrender of the Note at the office of the Fiscal Agent, duly endorsed for the transfer or accompanied by an assignment duly executed by the registered owner or his attorney duly authorized in writing. Upon such transfer, the Mayor and City Clerk shall execute and deliver in the name of the transferee or transferees a new Note or Notes of a like aggregate principal amount, series and maturity and the Fiscal Agent shall record the name of each transferee in the registration book. No registration shall be made to bearer. The Fiscal Agent shall cancel any Note surrendered for transfer.

The Municipality shall cooperate in any such transfer, and the Mayor and City Clerk are authorized to execute any new Note or Notes necessary to effect any such transfer.

Section 17. Record Date. The fifteenth day of each calendar month next preceding each interest payment date shall be the record date for the Notes (the "Record Date"). Payment of interest on the Notes on any interest payment date shall be made to the registered owners of the Notes as they appear on the registration book of the Municipality at the close of business on the Record Date.

Section 18. Utilization of The Depository Trust Company Book-Entry-Only System. In order to make the Notes eligible for the services provided by The Depository Trust Company, New York, New York ("DTC"), the Municipality agrees to the applicable provisions set forth in the Blanket Issuer Letter of Representations previously executed on behalf of the Municipality and on file in the City Clerk's office.

Section 19. Conditions on Issuance and Sale of the Notes. The issuance of the Notes and the sale of the Notes to the Purchaser are subject to approval by the Mayor and City Clerk of the Municipality of the definitive principal amount, interest rate and purchase price for the Notes within the parameters established by this Resolution, which approval shall be evidenced by execution by the Mayor and City Clerk of a certificate in substantially the form attached hereto as Exhibit B (the "Approving Certificate") and incorporated herein by this reference.

The Notes shall not be issued, sold or delivered until these conditions are satisfied. Upon satisfaction of these conditions, the Mayor and City Clerk of the Municipality are authorized to execute the Proposal with the Purchaser providing for the sale of the Notes to the Purchaser.

Section 20. Official Statement. The Common Council hereby delegates to the Mayor and City Clerk the authority to approve the Official Notice of Sale and Preliminary Official Statement with respect to the Notes and deem the Preliminary Official Statement as "final" as of its date for purposes of SEC Rule 15c2-12 promulgated by the Securities and Exchange Commission pursuant to the Securities and Exchange Act of 1934 (the "Rule"). All actions taken by the Mayor and City Clerk or other officers of the Municipality in connection with the

preparation of such Official Notice of Sale and Preliminary Official Statement and any addenda to it or Final Official Statement are hereby ratified and approved. In connection with the Closing, the appropriate Municipality official shall certify the Preliminary Official Statement and any addenda or Final Official Statement. The City Clerk shall cause copies of the Preliminary Official Statement and any addenda or Final Official Statement to be distributed to the Purchaser.

Section 21. Undertaking to Provide Continuing Disclosure. The Municipality hereby covenants and agrees, for the benefit of the owners of the Notes, to enter into a written undertaking (the "Undertaking") if required by the Rule to provide continuing disclosure of certain financial information and operating data and timely notices of the occurrence of certain events in accordance with the Rule. The Undertaking shall be enforceable by the owners of the Notes or by the Purchaser on behalf of such owners (provided that the rights of the owners and the Purchaser to enforce the Undertaking shall be limited to a right to obtain specific performance of the obligations thereunder and any failure by the Municipality to comply with the provisions of the Undertaking shall not be an event of default with respect to the Notes).

To the extent required under the Rule, the Mayor and City Clerk, or other officer of the Municipality charged with the responsibility for issuing the Notes, shall provide a Continuing Disclosure Certificate for inclusion in the transcript of proceedings, setting forth the details and terms of the Municipality's Undertaking.

Section 22. Record Book. The City Clerk shall provide and keep the transcript of proceedings as a separate record book (the "Record Book") and shall record a full and correct statement of every step or proceeding had or taken in the course of authorizing and issuing the Notes in the Record Book.

Section 23. Bond Insurance. If the Purchaser determines to obtain municipal bond insurance with respect to the Notes, the officers of the Municipality are authorized to take all actions necessary to obtain such municipal bond insurance. The Mayor and City Clerk are authorized to agree to such additional provisions as the bond insurer may reasonably request and which are acceptable to the Mayor and City Clerk including provisions regarding restrictions on investment of proceeds of the Notes, the payment procedure under the municipal bond insurance policy, the rights of the bond insurer in the event of default and payment of the Notes by the bond insurer and notices to be given to the bond insurer. In addition, any reference required by the bond insurer to the municipal bond insurance policy shall be made in the form of Note provided herein.

Section 24. Conflicting Resolutions, Severability; Effective Date. All prior resolutions (other than the Prior Resolutions), rules or other actions of the Common Council or any parts thereof in conflict with the provisions hereof shall be, and the same are, hereby rescinded insofar as the same may so conflict. In case of any conflict between the provisions of this Resolution and the Prior Resolutions, the Prior Resolutions shall govern as long as any of the respective Prior Issues are outstanding. In the event that any one or more provisions hereof shall for any reason be held to be illegal or invalid, such illegality or invalidity shall not affect any other provisions hereof. The foregoing shall take effect immediately upon adoption and approval in the manner provided by law.

Adopted, approved and recorded September 23, 2014.

---

Chris L. Meyer  
Mayor

Attest:

---

Deb M. Hall  
City Clerk

(SEAL)

EXHIBIT A

(Form of Note)

REGISTERED	UNITED STATES OF AMERICA	DOLLARS
	STATE OF WISCONSIN	
	WOOD AND MARATHON COUNTIES	
NO.R-	CITY OF MARSHFIELD SEWERAGE	\$_____
	SYSTEM REVENUE	
	BOND ANTICIPATION NOTE, SERIES 2014	

MATURITY DATE:	ORIGINAL DATE OF ISSUE:	INTEREST RATE:	CUSIP:
November 1, 2018	_____, 2014	%	_____

DEPOSITORY OR ITS NOMINEE NAME: CEDE & CO.

PRINCIPAL AMOUNT: \_\_\_\_\_ THOUSAND DOLLARS

(\$ \_\_\_\_\_)

COPY

FOR VALUE RECEIVED, the City of Marshfield, Wood and Marathon Counties, Wisconsin (the "Municipality"), hereby acknowledges itself to owe and promises to pay to the Depository or its Nominee Name (the "Depository") identified above (or to registered assigns), on the maturity date identified above, the principal amount identified above, and to pay interest thereon at the rate of interest per annum identified above, all subject to the provisions set forth herein regarding redemption prior to maturity. Interest is payable semi-annually on May 1 and November 1 of each year commencing on May 1, 2015 until the aforesaid principal amount is paid in full. Both the principal of and interest on this Note are payable to the registered owner in lawful money of the United States. Interest payable on any interest payment day shall be paid by wire transfer to the Depository in whose name this Note is registered on the Bond Register maintained by the City Finance Director (the "Fiscal Agent") or any successor thereto at the close of business on the 15th day of the calendar month next preceding the semi-annual interest payment date (the "Record Date"). This Note is payable as to principal upon presentation and surrender hereof at the office of the Fiscal Agent.

This Note is one of an issue of Notes aggregating the principal amount of \$ \_\_\_\_\_, all of which are of like tenor, except as to denomination, issued by the Municipality pursuant to the provisions of Section 66.0621(4)(L), Wisconsin Statutes, for the purpose of paying the cost of additions, improvements and extensions to and acquisitions for the Sewerage System, including lift station improvements and replacement and the acquisition of equipment (the "Project"), all as authorized by resolutions of the Common Council duly adopted by said governing body at meetings held on August 26, 2014 and September 23, 2014, as supplemented by a Certificate of

Mayor and City Clerk Approving the Preliminary Official Statement and Details of Sewerage System Revenue Bond Anticipation Notes, Series 2014, dated \_\_\_\_\_, 2014 (collectively, the "Resolutions"). The Resolutions are recorded in the official minutes of the Common Council for said dates.

In the Resolutions, the Common Council declared its intention and covenanted to issue Sewerage System Revenue Bonds (the "Revenue Bonds") for the purpose of paying the cost of the Project. The Notes are issued to anticipate the sale of the Revenue Bonds.

The Notes are payable only from:

- 1) any proceeds of the Notes set aside for payment of interest on the Notes as it becomes due;
- 2) the proceeds to be derived from the issuance and sale of the Revenue Bonds, which proceeds have been declared by the Municipality to constitute a special trust fund to be expended solely for the payment of the principal of and interest on the Notes; and
- 3) the income and revenue of the Municipality's Sewerage System which have been deposited in the Special Redemption Fund (as defined in the Resolutions), on a basis junior and subordinate to the Municipality's Sewerage System Revenue Bonds, Series 1998A, dated March 25, 1998, Sewerage System Revenue Bonds, Series 1998B, dated September 9, 1998, Sewerage System Revenue Bonds, Series 2006, dated December 1, 2006, and Sewerage System Revenue Bonds, Series 2009, dated July 1, 2009.

**THE NOTES DO NOT CONSTITUTE AN INDEBTEDNESS OF THE MUNICIPALITY WITHIN THE MEANING OF ANY CONSTITUTIONAL OR STATUTORY LIMITATION OR PROVISION. NO LIEN IS CREATED UPON THE SEWERAGE SYSTEM OR ANY OTHER PROPERTY OF THE MUNICIPALITY AS A RESULT OF THE ISSUANCE OF THE NOTES.**

The Notes are subject to redemption prior to maturity, at the option of the Municipality, on May 1, 2018 or on any date thereafter. Said Notes are redeemable as a whole or in part, and if in part, by lot (as selected by the Depository), at the principal amount thereof, plus accrued interest to the date of redemption.

In the event the Notes are redeemed prior to maturity, as long as the Notes are in book-entry-only form, official notice of the redemption will be given by mailing a notice by registered or certified mail, overnight express delivery, facsimile transmission, electronic transmission or in any other manner required by the Depository, to the Depository not less than thirty (30) days nor more than sixty (60) days prior to the redemption date. If less than all of the Notes of a maturity are to be called for redemption, the Notes of such maturity to be redeemed

will be selected by lot. Such notice will include but not be limited to the following: the designation, date and maturities of the Notes called for redemption, CUSIP numbers, and the date of redemption. Any notice mailed as provided herein shall be conclusively presumed to have been duly given, whether or not the registered owner receives the notice. The Notes shall cease to bear interest on the specified redemption date provided that federal or other immediately available funds sufficient for such redemption are on deposit at the office of the Depository at that time. Upon such deposit of funds for redemption the Notes shall no longer be deemed to be outstanding.

It is hereby certified and recited that all conditions, things and acts required by law to exist or to be done prior to and in connection with the issuance of this Note have been done, have existed and have been performed in due form and time. The Municipality has covenanted to issue and sell the Revenue Bonds, the sale of which this Note anticipates, as soon as practicable and to set aside the proceeds of the Revenue Bonds into a special trust fund for the payment of the principal of and interest on this Note.

This Note has been designated by the **Common Council** as a "qualified tax-exempt obligation" pursuant to the provisions of Section **108** of the Internal Revenue Code of 1986, as amended.

This Note is transferable only  books of the Municipality kept for that purpose at the office of the Fiscal Agent, only in the event that the Depository does not continue to act as depository for the Notes, and the Municipality appoints another depository, upon surrender of the Note to the Fiscal Agent, and thereupon a new fully registered Note in the same aggregate principal amount shall be issued to the new depository in exchange therefor and upon the payment of a charge sufficient to reimburse the Municipality for any tax, fee or other governmental charge required to be paid with respect to such registration. The Fiscal Agent shall not be obliged to make any transfer of the Notes (i) after the Record Date, (ii) during the fifteen (15) calendar days preceding the date of any publication of notice of any proposed redemption of the Notes, or (iii) with respect to any particular Note, after such Note has been called for redemption. The Fiscal Agent and Municipality may treat and consider the Depository in whose name this Note is registered as the absolute owner hereof for the purpose of receiving payment of, or on account of, the principal or redemption price hereof and interest due hereon and for all other purposes whatsoever. The Notes are issuable solely as negotiable, fully-registered Notes without coupons in the denomination of \$5,000 or any integral multiple thereof.

No delay or omission on the part of the owner hereof to exercise any right hereunder shall impair such right or be considered as a waiver thereof or as a waiver of or acquiescence in any default hereunder.

IN WITNESS WHEREOF, the City of Marshfield, Wood and Marathon Counties, Wisconsin, by its governing body, has caused this Note to be executed for it and in its name by the manual or facsimile signatures of its duly qualified Mayor and City Clerk and to be sealed with its official or corporate seal, if any, all as of the original date of issue specified above.

CITY OF MARSHFIELD,  
WOOD AND MARATHON COUNTIES,  
WISCONSIN

By: \_\_\_\_\_  
Chris L. Meyer  
Mayor

COPY

(SEAL)

By: \_\_\_\_\_  
Deb M. Hall  
City Clerk

ASSIGNMENT

FOR VALUE RECEIVED, the undersigned sells, assigns and transfers unto

\_\_\_\_\_  
(Name and Address of Assignee)

\_\_\_\_\_  
(Social Security or other Identifying Number of Assignee)

the within Note and all rights thereunder and hereby irrevocably constitutes and appoints \_\_\_\_\_, Legal Representative, to transfer said Note on the books kept for registration thereof, with full power of substitution in the premises.

Dated: \_\_\_\_\_

Signature Guaranteed:

\_\_\_\_\_  
(e.g. Bank, Trust Company  
or Securities Firm)

COPY

\_\_\_\_\_  
(Depository or Nominee Name)

NOTICE: This signature must correspond with the name of the Depository or Nominee Name as it appears upon the face of the within Note in every particular, without alteration or enlargement or any change whatever.

\_\_\_\_\_  
(Authorized Officer)

EXHIBIT B

Approving Certificate

(See Attached)

CERTIFICATE OF MAYOR AND CITY CLERK APPROVING THE PRELIMINARY  
OFFICIAL STATEMENT AND DETAILS OF  
SEWERAGE SYSTEM REVENUE BOND ANTICIPATION NOTES, SERIES 2014

We, Chris L. Meyer and Deb M. Hall, Mayor and City Clerk, respectively of the City of Marshfield, Wood and Marathon Counties, Wisconsin (the "City"), hereby certify that:

1. Resolution. On September 23, 2014, the Common Council of the City adopted a resolution (the "Resolution") authorizing the issuance and establishing parameters for the sale of not to exceed \$2,050,000 Sewerage System Revenue Bond Anticipation Notes, Series 2014 of the City (the "Notes") to the financial institution submitting the bid that results in the lowest true interest cost and delegating to us the authority to approve the Preliminary Official Statement, to approve the purchase proposal for the Notes, and to determine the details for the Notes within the parameters established by the Resolution.

2. Preliminary Official Statement. The Preliminary Official Statement with respect to the Notes is hereby approved and deemed "final" as of its date for purposes of SEC Rule 15c2-12 promulgated by the Securities and Exchange Commission pursuant to the Securities and Exchange Act of 1934.

3. Acceptance of Proposal. The Notes. On the date hereof, the City has duly received bids for the Notes and Q ermined that the bid proposal attached hereto as Exhibit A-1 and incorporated herein reference (the "Proposal") fully complies with the bid requirements set forth in the Official Notice of Sale, and meets the parameters established by the Resolution and is deemed to be the most advantageous to the City. Public Financial Management, Inc., the City's financial advisor, has recommended that the City accept the Proposal. The Proposal is hereby approved and accepted.

The Notes shall be issued in the aggregate principal amount of \$ \_\_\_\_\_, which is not more than the \$2,050,000 approved by the Resolution, shall mature on November 1, 2018, and shall bear interest at the rate per annum as set forth in the Proposal.

The true interest cost on the Notes (computed taking the Purchaser's compensation into account) is \_\_\_\_\_%, which is not in excess of 3.50%, as required by the Resolution.

4. Purchase Price of the Notes. The Notes shall be sold to the Purchaser in accordance with the terms of the Proposal at a price of \$ \_\_\_\_\_, plus accrued interest, if any, to the date of delivery of the Notes, which is not less than 99.0% of the principal amount of the Notes as required by the Resolution.

5. Approval. This Certificate constitutes our approval of the Proposal, and the definitive principal amount, interest rate, and purchase price for the Notes, in satisfaction of the parameters set forth in the Resolution.

IN WITNESS WHEREOF, we have executed this Certificate on \_\_\_\_  
\_\_\_\_  
pursuant to the authority delegated to us in the Resolution.

\_\_\_\_\_  
Chris L. Meyer  
Mayor

COPY

\_\_\_\_\_  
Deb M. Hall  
City Clerk

, 2014

EXHIBIT A-1 TO APPROVING CERTIFICATE

Proposal

To be provided by Public Financial Management, Inc. and incorporated into the Certificate.

(See Attached)

COPY

EXHIBIT B-1 TO APPROVING CERTIFICATE

Debt Service Schedule

To be provided by Public Financial Management, Inc. and incorporated into the Certificate.

(See Attached)

COPY

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**INTEROFFICE MEMORANDUM**

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**TO:** STEVE BARG, CITY OF MARSHFIELD  
**FROM:** KRIS PETHICK, CAP SERVICES, INC  
**SUBJECT:** CITY OF MARSHFIELD CDBG-RLF ADMINISTRATION  
**DATE:** SEPTEMBER 9, 2014  
**CC:** JASON ANGELL, PAULA JERO, MIKE OLSON

---

Thank you for meeting with me to discuss CAP's administration of the City of Marshfield's CDBG-Revolving Loan Fund. We look forward to a successful partnership.

CAP Services, Inc. has been administering housing programs for over 30 years. CAP administers a variety of programs in the counties of Marquette, Portage, Waupaca, Waushara, Wood, Lincoln & Marathon Counties. In the past five (5) years we have invested over \$8.1 million from various funding sources of down payment and closing cost assistance and housing repair dollars for properties in Central Wisconsin, including \$120,133 for properties within the City of Marshfield. CAP will continue to partner these funds with the CDBG-RLF.

CAP will be sending out a mailing to 300 households this week with housing repair program information, including approximately 15 households within the City.

An Administrative Agreement between the City of Marshfield and CAP Services, Inc. is attached.

**AGREEMENT BETWEEN  
THE CITY OF MARSHFIELD AND CAP SERVICES, INC.  
FOR THE ADMINISTRATION OF THE  
CITY OF MARSHFIELD COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG)  
REVOLVING LOAN FUND**

WHEREAS, the City of Marshfield (“the City”) has a revolving loan fund with a balance available for lending which requires administration to ensure compliance with the requirements of the Department of Administration and the auditors for the City of Marshfield; and

WHEREAS, CAP Services, Inc. (“CAP”) has staff that is experienced in the requirements of revolving loan fund administration, currently administers other revolving loan funds and is willing to administer the City’s loan fund.

NOW, THEREFORE, in consideration of the mutual covenants and agreements contained herein, the parties agree as follows:

1. CAP shall perform the administrative functions concerning all active revolving loan fund participants required by the Department of Administration and the auditors for the City of Marshfield, including, but not limited to:
  - a. Collecting and Recording Monthly Payments
  - b. Prepare loan documents for new applicant projects
  - c. Filing Annual Report with Department of Administration
  - d. Conducting and Documenting Annual Site Visits
  - e. Obtaining and Maintaining Quarterly Financial Statements
  - f. Obtaining and Maintaining Annual Progress Reports
  - g. Preparing pre-applications and applications for future CDBG awards
2. CAP shall assist new applicants with the application process, complete the inspection process and approve and manage all rehabilitation and downpayment & closing cost assistance projects.
3. CAP shall provide the City with a quarterly report on the amount of money repaid and available for future loans and the status of the program.
4. CAP shall have a presence in Marshfield as needed to ensure program goals are achieved. Appointments with homeowners will be made on an as-needed basis.
5. CAP shall receive a 5% administrative fee on all funds coming into the revolving loan fund for its services.
6. CAP shall receive a \$600.00 initial inspection fee and a \$600.00 final inspection fee at the completion of each project. These inspection fees do not include title search fees and recording fees which are paid by the homeowner. The title search providers are selected by the homeowner from a list supplied by CAP.
6. CAP will encourage homeowners to use local contractors whenever possible.
7. CAP is acting as agent for the City for purposes of the administration of this loan fund only, and at all times shall remain a separate entity from the City of Marshfield. CAP shall not be authorized to act on behalf of the City of Marshfield, except as specifically stated in this agreement.

8. CAP agrees to administer said loan fund pursuant to the rules and regulations of the Department of Administration and any other applicable law or rule governing the administration of said loan fund.
9. CAP may not assign this agreement without the consent of the City of Marshfield, and this agreement shall bind any successors or permitted assigns of the parties hereto.
10. This agreement shall begin as of the date fully executed by both parties below. This agreement shall be automatically renewed for successive one year periods unless either party notifies the other of the termination of said agreement at least 90 days before the expiration of the present term.

Notice shall be given to the other party at the following address:

City of Marshfield  
City Administrator  
PO Box 727  
Marshfield, WI, 54449

CAP Services, Inc.  
Mary Patoka, President/CEO  
5499 Highway 10 East, Suite A  
Stevens Point, WI, 54482

11. This agreement shall not be amended in whole or in part, and the performance of any obligation hereunder may not be waived, except by an instrument in writing signed by the parties hereto, or their respective successors or permitted assigns.

**CITY OF MARSHFIELD**

---

Chris Meyer, Mayor

---

Date

**CAP SERVICES, INC.**

---

Mary Patoka, President/CEO

---

Date

**CHARTER ORDINANCE NO. 25**

**A CHARTER ORDINANCE REQUIRING THAT THE CITY CLERK BE APPOINTED BY THE COMMON COUNCIL FOR AN INDEFINITE TERM**

The Common Council of the City of Marshfield, Wood/Marathon Counties, Wisconsin, do ordain as follows:

1. The City of Marshfield, Wood/Marathon Counties, Wisconsin, pursuant to Wisconsin Statute § 66.0101 elects to amend its charter to require that the City Clerk be appointed by the Common Council for an indefinite term of years.
2. The Municipal Code of the City of Marshfield, Chapter 2, Section 2-02 (1)(c) is hereby deleted.
3. The Municipal Code of the City of Marshfield, Chapter 2, Section 2-03 (1) is hereby amended by the addition of the following:

	<i>Official</i>	<i>How Appointed</i>	<i>Term</i>
(u)	City Clerk	Council	Indefinite

4. The Municipal Code of the City of Marshfield, Chapter 2, Section 2-37 (1) is hereby amended to read as follows:
  - (1) *Term.* The term of office of the City Clerk shall commence on the date of the year appointed by the Common Council and shall continue until a successor is duly appointed and qualified.
5. Any charter provision or any previously enacted ordinance or charter ordinance or part thereof inconsistent or in conflict with this Ordinance is hereby expressly repealed.
6. This is a Charter Ordinance and Notice of this Ordinance shall be published as required by Section 66.0101(3), Wisconsin Statutes. This Ordinance shall be in effect, except as specifically provided herein, upon 60 days after its passage and publication, unless a petition for referendum pursuant to Section 66.0101(5) is filed with the office of the City Clerk within said 60 days, in which event this Ordinance shall not take effect until approved by referendum.

ADOPTED: \_\_\_\_\_

\_\_\_\_\_  
Chris L. Meyer, Mayor

APPROVED: \_\_\_\_\_

PUBLISHED: \_\_\_\_\_

ATTEST: \_\_\_\_\_  
Deb M. Hall, City Clerk

**CHARTER ORDINANCE NO. 26**

**A CHARTER ORDINANCE REQUIRING THAT THE CITY ASSESSOR BE APPOINTED BY THE COMMON COUNCIL FOR AN INDEFINITE TERM**

The Common Council of the City of Marshfield, Wood/Marathon Counties, Wisconsin, do ordain as follows:

1. The City of Marshfield, Wood/Marathon Counties, Wisconsin, pursuant to Wisconsin Statute § 66.0101 elects to amend its charter to require that the City Assessor be appointed by the Common Council for an indefinite term of years.
2. The Municipal Code of the City of Marshfield, Chapter 2, Section 2-02 (1)(d) is hereby deleted.
3. The Municipal Code of the City of Marshfield, Chapter 2, Section 2-03 (1) is hereby amended by the addition of the following:

	<i>Official</i>	<i>How Appointed</i>	<i>Term</i>
(v)	City Assessor	Council	Indefinite

4. The Municipal Code of the City of Marshfield, Chapter 2, Section 2-38 (1) is hereby amended to read as follows:
  - (1) *Term.* The term of office of the City Assessor shall commence on the date of the year appointed by the Common Council and shall continue until a successor is duly appointed and qualified.
5. Any charter provision or any previously enacted ordinance or charter ordinance or part thereof inconsistent or in conflict with this Ordinance is hereby expressly repealed.
6. This is a Charter Ordinance and Notice of this Ordinance shall be published as required by Section 66.0101(3), Wisconsin Statutes. This Ordinance shall be in effect, except as specifically provided herein, upon 60 days after its passage and publication, unless a petition for referendum pursuant to Section 66.0101(5) is filed with the office of the City Clerk within said 60 days, in which event this Ordinance shall not take effect until approved by referendum.

ADOPTED: \_\_\_\_\_

\_\_\_\_\_  
Chris L. Meyer, Mayor

APPROVED: \_\_\_\_\_

PUBLISHED: \_\_\_\_\_

ATTEST: \_\_\_\_\_  
Deb M. Hall, City Clerk



# City of Marshfield Memorandum

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TO: Mayor Meyer & Common Council  
FROM: Josh Miller, City Planner  
DATE: September 23, 2014

RE: First Reading – Ordinance No. 1288 Rezoning request by Josh Gluege, representing the property owners Lonnie and Paulette Peterson, to change the zoning of two vacant parcels located at 401 and 407 East 21st Street (parcels numbers 33-06268 and 33-06269), from “SR-3” Single Family Residential to “TR-6” Two-Family Residential District.

## **Background**

Josh Gluege, is interested in purchasing two vacant lots, located at 401 and 407 East 21<sup>st</sup> Street and is requesting to rezone two parcels to “TR-6” Two-Family Residential district. The present zoning is “SR-3”, which would allow primarily single family residential uses. In addition to single family, the “TR-6” zoning district would allow two-flats (top and bottom unit duplex), twin homes, and duplexes.

## **Analysis**

According to the 2007 City of Marshfield 20 year Comprehensive Plan, this area is identified as “Existing City Residential (6-10 units per acre).” The proposed zoning district for the property is “TR-6” Two-Family Residential, which would allow a density of up to 7 units per acres as it’s currently subdivided, in line with the Future Land Use designation. The minimum lot size for newly platted duplex lots in the “TR-6” district is 12,000 square feet. Both lots are slightly smaller than that (11,628 square feet each), but they could accommodate duplexes because they were in existence at the time the latest zoning code was adopted.

The property to the north is existing single family residential (zoned “SR-3”). The property to the east and west are also single family (zoned “SR-3”). The property to the south is a mix of duplexes (zoned “TR-6”), access to the mobile home court (zoned “MH-8”), and single family (zoned “SR-3”).

Based on the neighborhood context and the surrounding land uses, it is reasonable to consider a rezoning of this property to “TR-6.”

## **Plan Commission Recommendation**

A public hearing was held on September 16, 2014 where the neighbor to the west, William Pahl, spoke against the rezoning request, citing concerns about having rentals next door, as the rentals across the street aren't in the best condition. The Applicant, spoke in favor of the rezoning.

The Plan Commission recommends approval of the rezoning request by Josh Gluege, to change the zoning from "SR-3" Single-Family Residential to "TR-6" Two-Family Residential, located at 401 and 407 East 21st Street.

## **Council Options**

The Common Council can take the following actions:

1. Approval of the request with any exceptions, conditions, or modifications the Council feels are justifiable and applicable to the request.
2. Denial of the request with justification stated by the Council.
3. Table the request for further study.

## **Recommendation**

None at this time unless the rules are suspended; final action will be requested after the second reading scheduled for the October 13, 2014 Common Council meeting.

## **Attachments**

1. Ordinance 1288
2. Location Map
3. Permitted Uses

Concurrence:



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Jason Angell  
Planning and Economic Development Director



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Steve Barg  
City Administrator

**ORDINANCE NO. 1288**

**AN ORDINANCE REZONING TWO PARCELS LOCATED AT 401 AND 407 EAST 21<sup>ST</sup> STREET FROM THE “SR-3” SINGLE-FAMILY RESIDENTIAL DISTRICT TO “TR-6” TWO-FAMILY RESIDENTIAL DISTRICT.**

WHEREAS, the Common Council of the City of Marshfield, having reviewed the recommendation of the City Plan Commission regarding the proposed change in zoning classification for the property described below; and

WHEREAS, the City Clerk, having published a Notice of Public Hearing regarding such change in zoning and, pursuant thereto, a public hearing having been held on the 16<sup>th</sup> day of September, 2014 at 7:00pm, and the Plan Commission having heard all interested parties or their agents and attorneys;

NOW, THEREFORE, the Common Council of the City of Marshfield, Wisconsin, do ordain as follows:

**SECTION I. Zoning**

The following described property is hereby rezoned from “SR-3” Single-Family Residential District to “TR-6” Two Family Residential District, as set forth in, and regulated by, the provisions of Chapter 18, Marshfield Municipal Code:

All of Lot 5 and Lot 6 of the Meissner Addition Subdivision located in the SW ¼ of the NW ¼, Section 17, Township 25 North, Range 3 East, City of Marshfield, Wood County, Wisconsin.

**SECTION II. Effective Date**

This Ordinance shall be effective upon passage and publication as required by law and the Zoning Administrator is hereby directed to make the necessary changes to the Zoning Maps forthwith.

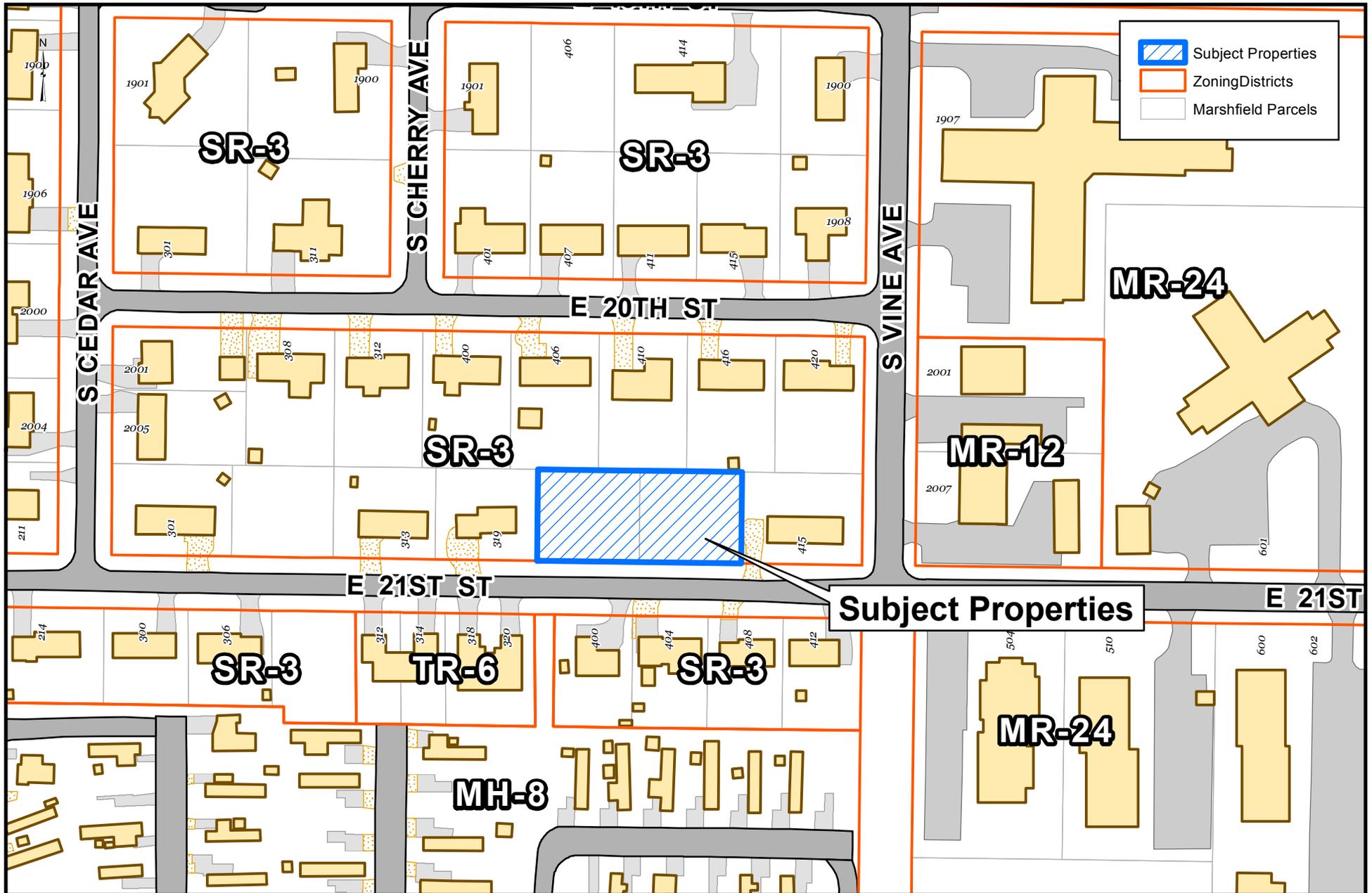
ADOPTED: \_\_\_\_\_

APPROVED: \_\_\_\_\_

PUBLISHED: \_\_\_\_\_

\_\_\_\_\_  
Chris L. Meyer, Mayor

ATTEST: \_\_\_\_\_  
Deb M. Hall, City Clerk



**Rezoning Request: 401 & 407 E 21st St - "SR-6" to "TR-6"**  
**City of Marshfield - Plan Commission**  
**Meeting Date: September 16, 2014**

Map Not To Scale  
 For Reference Only

ATTENTION: The representation of data presented herein is intended for reference purposes only; the City of Marshfield assumes no responsibility for the accuracy of the information provided. Any duplication without consent is prohibited.

<b>Permitted Uses in SR-3</b>	<b>Permitted Uses in TR-6</b>
Single Family	Single family
Community Garden	Two Flat
Small Scale Indoor Institutional	Twin House
Outdoor Open Space Institutional	Duplex
Passive Outdoor Recreation	Community Garden
Active Outdoor Recreation	Small Scale Indoor Institutional
Essential Services	Outdoor Open Space Institutional
Small Scale Public Services and Utilities	Passive Outdoor Recreation
Community Living Arrangement (1-8 residents) meeting the requirements of Section 18-57(10)	Active Outdoor Recreation
Communication Antenna	Essential Services
	Small Scale Public Services and Utilities
	Community Living Arrangement (1-8 residents) meeting the requirements of Section 18-57(10)
	Communication Antenna



# City of Marshfield Memorandum

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TO: Finance, Budget and Personnel Committee  
FROM: Jason Angell, Director of Planning & Economic Development  
DATE: September 16, 2014

RE: Budget Resolution 24-2014

## **Background**

On August 26<sup>th</sup> the Common Council unanimously approved entering into a development agreement with JT Marshfield for the construction of a 3,500+ square foot multi-tenant retail facility in TIF District #9.

Within the development agreement, the City is to provide \$70,000 in assistance to the project. The allocation of these funds is based upon 3 milestones being met: building foundation, substantial completion of the building and total completion of the project. In return for the assistance being provided by the City, the Developer has agreed to a minimum assessed value of \$600,000 for the project.

## **Analysis**

The Developer of the project is anxious to get started, and expressed an interest in breaking ground yet this year. Thus, to assure the monies are available when the milestones of the development agreement are met, staff has prepared the attached budget resolution for your consideration.

The attached budget resolution, if approved, authorizes the transfer of \$70,000 from a State Trust Fund Loan to fund development incentives the City of Marshfield agreed to provide in this development agreement.

## **Recommendation**

I recommend approval of Budget Resolution 24-2014 authorizing the transfer of \$70,000 from a State Trust Fund Loan for development incentives per the Development Agreement with JT Marshfield as presented.

Concurrence:

Steve Barg, City Administrator

Keith Strey, Finance Director

BUDGET RESOLUTION NO. 24-2014

A resolution changing the 2014 budget of the City of Marshfield, Wisconsin.

BE IT RESOLVED by the COMMON COUNCIL of the CITY OF MARSHFIELD as follows:

1. That the sum of \$70,000 is hereby transferred from State Trust Fund Loan proceeds, a/c #4344900008.080000 to the TID #9 Central Ave & Ives Street Fund Urban Development, a/c #4345662008.080000.
2. That upon the adoption of this resolution by a two-thirds vote of the entire membership of the COMMON COUNCIL, and within ten (10) days thereafter, the CITY CLERK publish notice of this change in the official newspaper.

ADOPTED \_\_\_\_\_  
Mayor

APPROVED \_\_\_\_\_  
Attest – Deputy City Clerk

PUBLISHED \_\_\_\_\_

DETAIL OF BUDGET RESOLUTION NO. 24-2014 BY OBJECT NUMBER

**TRANSFERRED FROM:**

- 1. TID #9 Central Ave & Ives Street Fund, a/c #4344900008.080000:
  - a. 49140 – Proceeds of State Trust Fund Loan \$ 70,000

**TRANSFERRED TO:**

- 1. TID #9 Central Ave & Ives Street Fund, a/c #4345662008.080000:
  - a. 57260 – Financial Incentives \$ 70,000

\* \* \* \*

City of Marshfield  
City Hall Plaza  
630 S. Central Avenue  
P.O. Box 727  
Marshfield, WI 54449



Keith R. Strey, CPA  
Finance Director  
(715) 387-3033  
Fax (715) 384-9310  
keith.strey@ci.marshfield.wi.us

Date: September 16, 2014

To: Finance, Budget and Personnel Committee

From: Brenda Hanson, CMTW  
Assistant Finance Director

Re: 2015 Ambulance Service Rate Study

#### SUMMARY

Attached is a copy of information that was submitted to the Fire and Police Commission regarding the Ambulance Rate Study. The commission discussed this topic at their September 4, 2014 meeting, and the recommended rates were approved at the dollar amounts proposed.

Please review the attachments. If you have any questions, please don't hesitate to call me.

#### RECOMMENDATION

I recommend approving Resolution 2014-53 to the Common Council at the proposed rates listed on the resolution attachment.

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Concurrence – Steve Barg  
City Administrator

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Keith R. Strey  
Finance Director

Attachments

Cc: Fire Chief (w/o attachment)

**CITY OF MARSHFIELD, WISCONSIN**

**AMBULANCE SERVICE RATE STUDY**

September 4, 2014

Compiled & Submitted by:  
Finance Department  
Brenda Hanson  
Assistant Finance Director

CITY OF MARSHFIELD, WISCONSIN  
AMBULANCE SERVICE RATE STUDY  
September 4, 2014

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Comparative Rates of Area Communities	7
Recommended Resolution	8
Rate Schedule to be Effective January 1, 2015	9

Finance Department  
City of Marshfield  
City Hall Plaza  
630 S. Central Avenue  
Marshfield, Wisconsin 54449-0727



Keith R. Strey, CPA  
Finance Director  
Telephone (715) 387-3033  
Fax (715) 384-9310  
Email: keiths@ci.marshfield.wi.us

DATE: September 4, 2014  
TO: Fire and Police Commission  
FROM: Brenda Hanson, CMTW  
Assistant Finance Director *BKH*  
RE: 2015 Ambulance Service Rate Study

### Goals

To perform an analysis of ambulance usage, current rates, Medicare/Medicaid reimbursements and 2015 proposed budget costs and recommend new rates to be effective January 1, 2015. The recommended new rates will maximize Medicare reimbursement, provide an estimated 13.2% return on investment (ROI) and accumulate funds for future capital purchases.

### Applicable Ambulance Costs

The costs intended to be covered by user fees are the 2015 proposed budget expenditures for Fund 605 EMS. Using 2015 proposed budget costs to determine contracts and rates provides the revenue to cover 2015 projected costs.

### Comparison of Rates with Surrounding Communities

Rate schedules were obtained and included from 13 other Wisconsin communities including Wisconsin Rapids, Stevens Point, Wausau and Eau Claire. In comparing the ALS1, ALS2 and BLS rates, the recommended rates for the City of Marshfield are comparable to the Mean (Average) and the Median (Mid-point).

### Effect of Rate Adjustment on Medicare Reimbursement

Medicare will reimburse 80% of the approved charges. **Approved charges** are the lowest of the following:

1. The customary charge (the amounts we bill for the services)
2. The prevailing charge (average billed amounts within the applicable Medicare region) or
3. The Inflationary Index Charge (increase limitation percentage allowed within any single year)

The charges for Medicare have amounted to 68.1% of 2013 calls, 65.1% of 2012 calls and 63.6% of 2011 calls.

The City of Marshfield has been recouping most, if not all, of the charges not paid by Medicare (i.e. 20% of the approved charges) from secondary payers such as Medicaid, Security Health, the patient and other sources. We would expect to continue recouping that portion of the new rates not approved by Medicare from secondary payment sources. We may, however, incur a modest increase in the amount of write-offs as a result of the increased rates due to the usual uncollectability associated with any account receivable.

### Findings

An increase in the base rate (BLS), the advance life support 1 (ALS1) and the advance life support 2 (ALS2) is needed to adjust Marshfield's rates.

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Various assumptions (except volume) and rate adjustments were reviewed with projected revenues and net subsidies computed.

It would be appropriate to raise the BLS rates by 6.3% for resident, 6.3% for non-resident users and 0% for Calls for Assistance. These charges would equate to increases of \$30 for resident and \$40 for non-resident users. The new recommended BLS rates are \$500 for resident users (currently \$470) and \$670 for non-resident users (currently \$630).

It would also be appropriate to raise the ALS1 rates by 3.4% for resident, 4.0% for non-resident users and 0% for Calls for Assistance. These charges would equate to increases of \$20 for resident and \$30 for non-resident users for the ALS1 rate. The new recommended ALS1 rates are \$600 for resident users (currently \$580) and \$780 for non-resident users (currently \$750).

It would also be appropriate to raise the ALS2 rates by 1.4% for resident and 2.2% for non-resident users. These charges would equate to increases of \$10 for resident and \$20 for non-resident users for the ALS2 rate. The new recommended ALS2 rates are \$750 for resident users (currently \$740) and \$930 for non-resident users (currently \$910).

Finally, the addition of a Resident and Non-Resident Intergovernmental Agency Call of \$175 and \$250 respectively and mileage for that call as the current IRS business mileage rate have been added as there is the potential for these types of calls and this would establish a rate for when needed.

The projected rate increases will provide an estimated ROI of 13.2% for 2015. The projected increase in fund balance of \$230,894 as of December 31, 2017 is warranted as this rate study maximizes Medicare reimbursable amounts and continues to establish a cash flow reserve for future capital purchases. The overall recommended rate increase in comparison with the 2014 rate study is 6.5%.

### Projections

With the following assumptions:

1. Increase BLS (base) rate for residents \$30 (6.3%) to \$500
2. Increase BLS (base) rate for non-residents \$40 (6.3%) to \$670
3. No change in BLS (base) Calls for Assistance
4. No change in Loaded mileage
5. NEW Resident Intergovernmental Agency Call \$175
6. NEW Non-Resident Intergovernmental Agency Call \$250
7. NEW Intergovernmental Agency Mileage equal to current IRS business mileage rate
8. Increase ALS1 (advance life support 1) rate for residents \$20 (3.4%) to \$600
9. Increase ALS1 (advance life support 1) rate for non-residents \$30 (4.0%) to \$780
10. No change in ALS1 (advance life support 1) Calls for Assistance
11. Increase ALS2 (advance life support 2) rate for residents \$10 (1.4%) to \$750
12. Increase ALS2 (advance life support 2) rate for non-residents \$20 (2.2%) to \$930

The following projection is presented:

Expenditures:

Total 2015 Proposed Budget \$1,228,275

Revenue and Other Sources:

Projected fees using the new rates \$1,259,673

Medicare//Medicaid Adjustment (221,100)

Proposed contracts with contract communities 313,656

Contract with St. Joseph's for backup service 15,195

State Medical Transportation Supplement 50,000

Act 102 Grant 7,600

Subtotal 1,425,024

Excess revenues over expenditures (196,749)

Total Revenues and Other Sources \$1,228,275

cc Fire Chief  
City Administrator  
Finance Director

CITY OF MARSHFIELD AMBULANCE SERVICE  
PROJECTION OF COSTS, REVENUES AND NET TAX LEVY SUBSIDY

BUDGET YEAR 2015

3 YEAR AVG ALLOCATION OF RUNS

ALS1	40.2%
ALS2	1.0%
BLS	58.8%

PROPOSED INCREASES

ALS1 Rate - Resident	3.4%
ALS1 - Non-Resident	4.0%
ALS2 Rate - Resident	1.4%
ALS2 - Non-Resident	2.2%
BLS Rate - Resident	6.3%
BLS - Non-Resident	6.3%
Calls for Assistance (BLS)	0.0%
Calls for Assistance (ALS)	0.0%
Mileage	0.0%
Other	0.0%
Supplies-Cost plus	0.0%

ADVANCED LIFE SUPPORT 1 (ALS1)

Resident/Contract area	580.00	20.00	600.00	769	461,400.00
Non-Resident/Out of contract area	780.00	30.00	780.00	40	31,200.00
Neonatal & Special Peds	430.00	0.00	430.00	24	10,320.00
Calls for Assistance	250.00	0.00	250.00	0	0.00
No pick-up	0.00	0.00	0.00	206	0.00
Intercept Service Contract - SJH	410.00	0.00	410.00	64	26,240.00

ADVANCED LIFE SUPPORT 2 (ALS2)

Resident/Contract area	740.00	10.00	750.00	19	14,250.00
Non-Resident/Out of contract area	910.00	20.00	930.00	1	930.00
No pick-up	0.00	0.00	0.00	5	0.00

STANDARD BASE RATE #1 (BLS)

Resident/Contract area	470.00	30.00	500.00	1,125	562,500.00
Non-Resident/Out of contract area	630.00	40.00	670.00	58	38,860.00
Calls for Assistance	175.00	0.00	175.00	81	14,175.00
No pick-up	0.00	0.00	0.00	0	0.00
Resident Intergovernmental Agency Call	0.00	175.00	175.00	0	0.00
Non-Resident Intergovernmental Agency Call	0.00	250.00	250.00	0	0.00

MILEAGE CHARGES PER LOADED MILE

Resident/Contract area	10.40	0.00	10.40	7,076	73,590.00
Non-Resident/Out of contract area	10.40	0.00	10.40	1,482	15,416.00
Neonatal & Special Peds	7.50	0.00	7.50	1,439	10,792.00
Resident/Non-Resident Intergovernmental Agency Call	0.00	0.00	0.00	0	0.00

GRAND TOTALS

0.00	1,259,673.00	775,469.29	48,789.00	3,974.00	4,720.00	332,952.29	269,459.71	1,102,412.00	(57,463.00)	185,350.86	(222,813.86)
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PROJECTED 2015 FEE REVENUE	DIRECT COSTS						TOTAL COST	Total Direct	Total Indirect	Fee (Revenue)/ Shortfall	2015 Grant / Contract Revenue	Net Fee (Revenue)/ Shortfall
	3 Yr Avg Trips/ Miles	Billed Supplies	Proposed Rates	Proposed Increase	Personnel	Supplies						
461,400.00	769	600.00	20.00	769	48,789.00	3,974.00	4,720.00	332,952.29	269,459.71	1,102,412.00	185,350.86	(222,813.86)
14,250.00	19	750.00	10.00	19								
930.00	1	930.00	20.00	1								
0.00	5	0.00	0.00	5								
562,500.00	1,125	500.00	30.00	1,125							50,000.00	
38,860.00	58	670.00	40.00	58							(221,100.00)	
14,175.00	81	175.00	0.00	81							7,600.00	
0.00	0	0.00	0.00	0							313,655.86	
0.00	0	175.00	175.00	0							15,195.00	
0.00	0	250.00	250.00	0								
1,159,875.00	2,392	250.00	250.00	2,392	775,469.29	48,789.00	3,974.00	4,720.00	332,952.29	269,459.71	185,350.86	(222,813.86)
73,590.00	7,076	10.40	0.00	7,076							0.00	
15,416.00	1,482	10.40	0.00	1,482								
10,792.00	1,439	7.50	0.00	1,439								
0.00	0	0.00	0.00	0								
99,798.00	9,997	0.00	0.00	9,997	125,863.00					125,863.00	26,065.00	
0.00												
1,259,673.00					775,469.29	48,789.00	3,974.00	4,720.00	958,815.29	269,459.71	185,350.86	(196,748.86)

Projected Return on Investment (ROI) (net income/total assets)

2013 Total Assets	1,614,014
2014 Asset Additions	60,847
2014 Asset Deletions	0
2014 Asset Depreciation	(101,000)
2015 Asset Additions	76,034
2015 Asset Deletions	0
2015 Asset Depreciation	(110,400)
2015 Projected Total Assets	1,539,495
2015 Projected Net Fee Revenue (Shortfall)	196,749
2015 Projected ROI	12.8%

Utilizing Ambulance Contract & Rate Study

Account Number	Account Title	Balance 12/31/2013	
605.11100	Treasurer's Working Cash	\$111,102	
605.13810	Other Accounts Receivable	1,690	
605.13820	Ambulance Accounts Receivable	189,939	
605.16200	Prepaid Expenses	0	
605.21100	Accounts Payable	(168,424)	
605.21420	GO Notes Payable	(33,703)	
605.21538	PEHP Payable	(8,953)	
605.21700	Accrued Wages Payable	(26,604)	
605.21900	Other Accounts Payable	0	
605.23500	Ambulance Contracts Deposit	(17,076)	
Net Cash 12/31/13			\$47,971

2014 Operation (From 2015 Department Estimated Budget)

Revenues

605.43000	Intergovernmental	\$55,600	
605.46000	Public Charges for Service	826,797	
605.47000	Intergovernmental Charges (Contracts)	295,687	
605.48000	Miscellaneous	7,180	1,185,264

Expenses

605.51510	Finance	(\$111,583)	
605.52310	Ambulance	(996,901)	
605.53665	Depreciation	(101,000)	
605.58291	Debt Interest Expense	(18,680)	(1,228,164)

Add-back Depreciation (noncash expense)	101,000
Less cash used for debt principal payments	(33,703)
Less cash used for capital additions	(60,847)

Projected Net Cash 12/31/14 11,521

2015 Operations (From 2015 Department Proposed Budget)

Revenues

605.43000	Intergovernmental	\$57,600	
605.46000	Public Charges for Service (includes rate study)	1,053,768	
605.47000	Intergovernmental Charges (incl proposed contracts)	313,656	
605.48000	Miscellaneous	150	1,425,174

Expenses

605.51510	Finance	(\$116,311)	
605.52310	Ambulance	(983,583)	
605.53665	Depreciation	(110,400)	
605.58291	Debt Interest Expense	(17,980)	(1,228,274)

Add-back Depreciation (noncash expense)	110,400
Less cash used for debt principal payments (estimated)	(29,219)
Less cash used for capital additions (2015 Dept Request Budget)	(76,034)

Projected Net Cash 12/31/15 213,568

Less three months cash flow reserve (25% of expenditures) (307,069)

Net Available for Future Capital Purchases (\$93,501)

2016 Operations (From 2015 Department Proposed Budget)

Revenues

605.43000	Intergovernmental	\$57,600	
605.46000	Public Charges for Service (includes rate study)	1,053,768	
605.47000	Intergovernmental Charges (incl proposed contracts)	313,656	
605.48000	Miscellaneous	150	1,425,174
			<hr/>

Expenses

605.51510	Finance	(\$116,311)	
605.52310	Ambulance	(\$983,583)	
605.53665	Depreciation	(\$110,400)	
605.58291	Debt Interest Expense	(17,980)	(1,228,274)
			<hr/>

Add-back Depreciation (noncash expense)	110,400
Less cash used for debt principal payments (estimated)	(29,219)
Less cash used for capital additions (2015 Dept Request Budget)	<hr/> (185,000)

Projected Net Cash 12/31/16 306,649

Less three months cash flow reserve (25% of expenditures) 

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(307,069)

Net Available for Future Capital Purchases 

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(\$420)

2017 Operations (From 2015 Department Proposed Budget)

Revenues

605.43000	Intergovernmental	\$57,600	
605.46000	Public Charges for Service (includes rate study)	1,053,768	
605.47000	Intergovernmental Charges (incl proposed contracts)	313,656	
605.48000	Miscellaneous	150	1,425,174
			<hr/>

Expenses

605.51510	Finance	(\$116,311)	
605.52310	Ambulance	(983,583)	
605.53665	Depreciation	(110,400)	
605.58291	Debt Interest Expense	(17,980)	(1,228,274)
			<hr/>

Add-back Depreciation (noncash expense)	110,400
Less cash used for debt principal payments (estimated)	(29,219)
Less cash used for capital additions (2015 Dept Request Budget)	<hr/> (46,767)

Projected Net Cash 12/31/17 537,963

Less three months cash flow reserve (25% of expenditures) 

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(307,069)

Net Available for Future Capital Purchases 

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\$230,894

CITY OF MARSHFIELD AMBULANCE SERVICE  
COMPARATIVE RATES OF AREA COMMUNITIES

COMMUNITY/SERVICE	BLS RATE		ALS1 RATE		ALS2 RATE	
	RESIDENT	NON-RESIDENT	RESIDENT	NON-RESIDENT	RESIDENT	NON-RESIDENT
Chippewa Falls Fire & Emergency	725	800	825	900	925	1000
Eau Claire Fire & Rescue	800	1,050	900	1,150	1000	1250
Chippewa Fire Protection District	825	950	950	1,050	1050	1125
Stevens Point	625	700	775	850	775	850
Wausau Fire Department	575	750	650	850	725	950
Wisconsin Rapids	472	585.50	613	726.50	782.50	896
Allouez	500	600	625	700	725	825
Ashwaubenon	525	650	625	750	650	800
DePere	575	780	625	824	655	900
Fond du Lac	600	600	700	700	750	750
Kaukauna	550	600	650	700	700	750
Manitowoc	625	725	750	850	770	870
Two Rivers	660	715	715	770	770	825
Marshfield (Current)	440	565	530	660	695	820
Mean (Average)	\$607	\$719	\$710	\$820	\$784	\$901
Median (Mid-point)	\$588	\$708	\$675	\$797	\$760	\$860
Marshfield (Proposed)	\$500	\$670	\$600	\$780	\$750	\$930
Estimated 2014 Marshfield Medicare emergency		344		408		591
Estimated 2014 Marshfield Medicare non-emergency		215		408		591
Current Marshfield Medicare emergency		343.67		408.11		590.69
Current Marshfield Medicare non-emergency		214.80		408.11		590.69

(Medicare pays 80% of these allowable amounts)

RESOLUTION NO. 2014-53

**WHEREAS**, the ambulance rates are set with the goal to recover costs of providing ambulance service; and

**WHEREAS**, the justification for setting service rates is to spread the costs of the ambulance service primarily to the user as opposed to the general taxpayer; and

**WHEREAS**, a periodic analysis of the rate structure for the ambulance service is essential to ensure that appropriate costs are captured in the ambulance rates; and

**WHEREAS**, the Finance Department has examined the rate structure of the Ambulance Service to recommend changes, as appropriate; and

**WHEREAS**, the Assistant Finance Director presented the findings and study conclusions to the Fire and Police Commission and the Finance, Budget and Personnel Committee on September 4, 2014 and September 16, 2014 respectively; and

**WHEREAS**, the Finance Department computed new rates by comparing the Ambulance Service's current rates with those of surrounding communities; reviewed the costs to be recovered by the new rates (2015 EMS Fund Proposed Budget) and taking into account the rules, regulations and laws determining Medicare and Medical Assistance reimbursements; and

**WHEREAS**, it is highly appropriate for the users to be charged for the cost of operating an ambulance service; and

**WHEREAS**, the proposed 2015 budget assumes the implementation of new ambulance rates.

**NOW, THEREFORE, BE IT RESOLVED**, that the attached ambulance service rate schedule as recommended by the Assistant Finance Director be implemented effective January 1, 2015 and that management be authorized to charge these rates to users of the ambulance service.

ADOPTED \_\_\_\_\_

\_\_\_\_\_  
Chris L. Meyer, Mayor

APPROVED \_\_\_\_\_

\_\_\_\_\_  
Deb M. Hall, City Clerk

CITY OF MARSHFIELD AMBULANCE SERVICE  
 RATE SCHEDULE EFFECTIVE JANUARY 1, 2015

	<u>Current Rates</u>	<u>Proposed Increase</u>	<u>Proposed Rates</u>
<b><u>ADVANCED LIFE SUPPORT 1 (ALS1)</u></b>			
Resident/Contract Area	580.00	20.00	600.00
Non-Resident/Out of contract area	750.00	30.00	780.00
Neonatal & Special Peds	430.00	0.00	430.00
Calls for Assistance	250.00	0.00	250.00
No pick-up	0.00	0.00	0.00
Intercept Service Contract - SJH	410.00	0.00	410.00

<b><u>ADVANCED LIFE SUPPORT 2 (ALS2)</u></b>			
Resident/Contract Area	740.00	10.00	750.00
Non-Resident/Out of contract area	910.00	20.00	930.00
No pick-up	0.00	0.00	0.00

<b><u>STANDARD BASE RATE #1 (BLS)</u></b>			
Resident/Contract Area	470.00	30.00	500.00
Non-Resident/Out of contract area	630.00	40.00	670.00
Calls for Assistance	175.00	0.00	175.00
No pick-up	0.00	0.00	0.00
Resident Intergovernmental Agency Call	0.00	175.00	175.00
Non-Resident Intergovernmental Agency Call	0.00	250.00	250.00

<b><u>MILEAGE CHARGES PER LOADED MILE</u></b>			
Resident/Contract Area	10.40	0.00	10.40
Non-Resident/Out of contract area	10.40	0.00	10.40
Neonatal & Special Peds	7.50	0.00	7.50
Resident/Non-Resident Intergovt Agency Call	Current IRS Business Mileage Rate		